

# A port-Hamiltonian model of liquid sloshing in moving containers and application to a fluid-structure system<sup>☆</sup>

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## Abstract

This work is motivated by an aeronautical issue: the fuel sloshing in the tank coupled with very flexible wings. Vibrations due to these coupled phenomena can lead to problems like reduced passenger comfort and maneuverability, and even unstable behavior. Port-Hamiltonian systems (pHs) provide a unified framework for the description of multi-domain, complex physical systems and a modular approach for the interconnection of subsystems. In this work, pHs models are proposed for the equations of liquid sloshing in moving containers and for the structural equations of beams with piezoelectric actuators. The interconnection ports are used to couple the sloshing dynamics in the moving tank to the motion the beam. This coupling leads to an infinite-dimensional model of the system in the pHs form. A finite-dimensional approximation is obtained by using a geometric pseudo-spectral method that preserves the pHs structure at the discrete level. Experimental tests on a structure made of a beam and a tank were carried out to validate the finite-dimensional model of liquid sloshing in moving containers. Finally, the pHs model proves useful to design an active control law for the reduction of sloshing phenomena.

*Keywords:* port-Hamiltonian systems, shallow-water sloshing, moving container, dynamic coupling, interconnection of systems, active control

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## 1. Introduction

The fluid motion in moving containers is a subject of concern in several engineering disciplines. In the aeronautical domain, the fuel inside tanks can modify the flight dynamics of airplanes [1], potentially leading to fatal accidents. Two practical examples of coupling between the airplane rigid body dynamics and fuel sloshing are given in [2]:  
5 during the Korean War, several crashes of the Lockheed F-80C jet fighter were caused by an unstable coupling between the short period mode and the fluid; the Dutch roll mode of Douglas A4D Skyhawk presented undamped oscillations due to a coupling with fuel motion. Other applications where this phenomenon plays an important role include rockets and satellites with liquid propellant [3, 4], tank trucks and aircraft with aerial dispersant systems [5].

Besides, the use of new light-weight materials and the optimization of the airplane structure usually leads to  
10 increased structural flexibility. For this reason, the sloshing dynamics inside the tank can couple with the wing structural dynamics, possibly leading to reduced maneuverability, reduced comfort and even changes in the stability behavior [6]. At ISAE, we have an experimental device to study this coupled problem. The device consists of an aluminum plate clamped at one end with a water tank near the free tip. The fluid dynamics and structural dynamics have similar natural vibration frequencies, leading to strong dynamic coupling between them. The device is depicted  
15 in Figs. 1 and 2. Two piezoelectric patches are attached near the clamped end of the beam to reduce the vibrations actively. Two accelerometers near the free end are used to measure the motion of the structure. This device was previously modeled and controlled in [7, 8, 9]. The main goal of this work is to propose a modular mathematical model of this system that can be used for simulation and control design.

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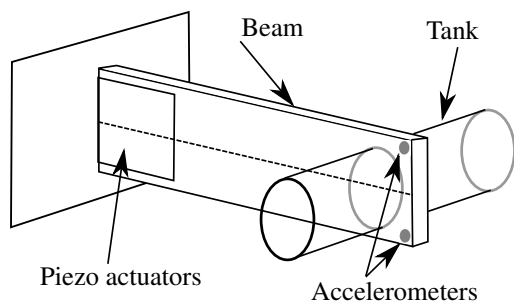


Figure 1: Experimental setup schematic representation

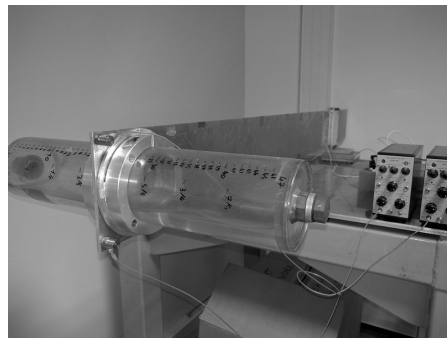


Figure 2: Experimental device

The use of port-based modeling [10] is a recent trend in systems analysis and control. This formulation, proposed by Henry Paynter [11], allows describing complex systems as composed of several simpler subsystems which interact through a pair of variables, whose product equals power. The energy exchange between each subsystem is viewed as a common language for describing the interaction of systems of different domains (thermal, mechanical, electrical, etc.).

The port-Hamiltonian formulation [12] combines the port-based modeling with Hamiltonian systems theory. This approach was initially designed for studying finite-dimensional complex systems (like networks of electric circuits) [13, 14, 15]. Among its properties, this methodology allows coupling multi-domain systems in a physically consistent way, i.e., using energy flow, so that interconnections are power-conserving.

Shallow Water Equations (SWE, also known as Saint-Venant equations) are probably the simplest infinite-dimensional mathematical representation for a fluid with free-surface. Nevertheless, these equations are still studied for the modeling and control of sloshing in moving tanks. Ref. [16] proposed new equations, where the tank horizontal position and rotation angle are the control inputs of the system. Refs. [17] and [18] studied the coupling between Saint-Venant equations with a horizontally moving vehicle. In this case, a Hamiltonian formulation is proposed, allowing the use of a symplectic integration scheme. Refs. [19], [20] generalized the sloshing equations in moving tanks for 2D and 3D motions for prescribed tank motions. Ref. [21] studied the problem of stabilization of a tank with fluid using Lyapunov functions.

The SWE were also recently studied in the port-Hamiltonian framework but with a different goal: modeling and controlling the flow on open channel irrigation systems [22, 23]. In this case, there is neither rotation nor translation of the channels.

In the context of dynamic modeling and numerical simulation, the methodology proposed in this paper transforms the classical Lagrangian formulation of the dynamics to a port-Hamiltonian formulation, expressed as a system of conservation laws. This approach departs from [18], where the Hamiltonian system is defined with respect to a symplectic form, whereas the Hamiltonian formulation presented in this paper is defined with respect to a Hamiltonian differential operator.

In the context of systems theory, the port-Hamiltonian model of the fluid proposed here enables a modular representation of the liquid sloshing that can be interconnected to complex technological structures. The proposed model for liquid sloshing can be used for the case of moving containers.

Finally, in the context of fluid control, we assume indirect actuation fixed on the flexible structure (using piezoelectric actuators) instead of direct actuation of the speed or acceleration of the tank (as [16] and [21]). Furthermore, the port-Hamiltonian approach provides a natural framework for passivity-based control design, since the system Hamiltonian provides a storage function and can be used as a candidate Lyapunov function. This method differs from previous work on the same experimental device that used  $H_\infty$  control techniques for finding controllers that attenuate the fluid-structure coupled vibrations [7, 9].

The main contributions of this paper are the following:

1. A port-Hamiltonian representation of the liquid sloshing in moving containers is proposed. Differently of

55 previous work, here the fluid and the tank are viewed as an *open system*, providing interconnection ports that allow coupling with arbitrarily complex mechanical systems.

2. The equations of the fluid are coupled with the structural dynamics. Appropriate interconnection ports are chosen in order to find a final port-Hamiltonian system that preserves the original properties of the independent subsystems. Experimental data were used to validate the numerical results obtained with this modeling methodology.

60 Moreover, the reduced pHs model was used to design a passivity-based controller, which increases the damping of the system.

The outline of this paper is as follows. A recall on port-Hamiltonian systems is firstly presented in Section 2. Then, the proposed port-Hamiltonian representation of liquid sloshing in moving containers is presented in Section 3. The equations for the structural dynamics are presented in Section 4: the plate is simplified as a 1D-beam with bending and torsion motions modeled independently. The global system consists of a set of mixed finite-infinite dimensional port-Hamiltonian subsystems (given by the fluid, structure and rigid body equations). In Section 5, kinematic and force/moment constraints are employed to couple all the subsystems using the interconnection ports highlighted in Sections 3 and 4. Numerical results obtained from a discretized finite-dimensional model are validated and compared against experimental results in Section 6. Finally, Section 7 is dedicated to the application of control techniques to reduce the vibrations of the coupled system, taking advantage of finite-dimensional pHs model.

## 2. A brief recall on port-Hamiltonian systems

As a very simple example of a mechanical system written using this framework, let us consider a concentrated mass connected to a spring, with external force  $F_{\text{ext}}$ . The system total energy is given by:

$$H(p, x) = \frac{1}{2m}p^2 + \frac{1}{2}kx^2, \quad (1)$$

where  $p = mv$  is the particle momentum,  $m$  is the mass,  $x$  is the position,  $k$  is the spring constant. The dynamic equations, obtained from Hamiltonian formulation, are given by:

$$\frac{d}{dt} \begin{bmatrix} p \\ x \end{bmatrix} = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} \frac{\partial H}{\partial p} \\ \frac{\partial H}{\partial x} \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \end{bmatrix} F_{\text{ext}}, \quad (2)$$

$$\dot{x} = \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} \frac{\partial H}{\partial p} \\ \frac{\partial H}{\partial x} \end{bmatrix}. \quad (3)$$

One can easily verify that the energy exchange of this system is given by  $\dot{H} = F_{\text{ext}}\dot{x}$ . The external ports of this system are the mass speed and the applied external force.

A typical representation of port-Hamiltonian systems (which includes the previous example) is given by:

$$\begin{cases} \dot{\mathbf{x}} &= J(\mathbf{x})\mathbf{e} + B\mathbf{u}, \\ \mathbf{y} &= B^T\mathbf{e} + D\mathbf{u}, \end{cases} \quad (4)$$

where  $\mathbf{x}(t)$  is the vector of energy variables,  $\mathbf{e} := \frac{\partial}{\partial \mathbf{x}}H(\mathbf{x})$  is the vector of co-energy variables, provided by the gradient of the system Hamiltonian ( $H(\mathbf{x})$ ),  $\mathbf{u}$  is the input vector and  $\mathbf{y}$  is the output vector.  $J(\mathbf{x})$  is the interconnection matrix and  $D$  is the feedthrough matrix; since both of them must be skew-symmetric, it is easy to verify that:

$$\dot{H}(\mathbf{x}) = \mathbf{y}^T \mathbf{u}. \quad (5)$$

75 One of the most important properties of port-Hamiltonian systems is that their interconnection leads to another port-Hamiltonian system.

The theory of port-Hamiltonian systems was extended to include infinite-dimensional systems [24, 25]. Let us consider the string equation, for example:

$$\mu \frac{\partial^2 w}{\partial t^2} = \frac{\partial}{\partial z} \left( T \frac{\partial w}{\partial z} \right), \quad (6)$$

where  $\mu$  is the string mass per unit length,  $w(z, t)$  is the string deflection,  $T$  is the tension. After defining the following variables:  $\alpha_1 := \mu \frac{\partial w}{\partial t}$ , the linear moment density, and  $\alpha_2 := \frac{\partial w}{\partial z}$ , the local string tangent angle; the system Hamiltonian (total energy) is computed:

$$H[\alpha_1, \alpha_2] = \frac{1}{2} \int_{z=0}^L \left( \frac{1}{\mu} \alpha_1^2 + T \alpha_2^2 \right) dz, \quad (7)$$

and the string equation can be rewritten as:

$$\frac{\partial}{\partial t} \begin{bmatrix} \alpha_1 \\ \alpha_2 \end{bmatrix} = \begin{bmatrix} 0 & \partial_z \\ \partial_z & 0 \end{bmatrix} \begin{bmatrix} e_1 \\ e_2 \end{bmatrix}, \quad (8)$$

where  $e_i(z, t) := \frac{\delta H}{\delta \alpha_i}$  is the variational derivative<sup>2</sup> of  $H$  with respect to  $\alpha_i$ . It is straightforward to verify that the energy flow of this system depends only on the boundary conditions:

$$\dot{H} = e_1(L, t)e_2(L, t) - e_1(0, t)e_2(0, t). \quad (9)$$

This motivated the definition of *boundary ports*, in this case given by  $e_1 = \frac{\delta H}{\delta \alpha_1} = \frac{\alpha_1}{\mu} = \frac{\partial w}{\partial t}$  (the vertical speed), and  $e_2 = \frac{\delta H}{\delta \alpha_2} = T \alpha_2 = T \frac{\partial w}{\partial z}$  (the vertical component of force), both evaluated at the boundary. One possible choice of input/output ports<sup>3</sup> is the following:  $\mathbf{u}_\partial = [e_1(L, t), -e_2(0, t)]^T$  and  $\mathbf{y}_\partial = [e_2(L, t), e_1(0, t)]^T$ . Then, just like in the finite-dimensional case, Eq. 9 rewrites as  $\dot{H} = \mathbf{y}_\partial^T \mathbf{u}_\partial$ .

In addition to energy conservation, by rewriting the wave equation as Eq. 8, two additional conservation laws became evident. Notice that by integrating the equations along the domain, we have:  $\int_{z=0}^L \dot{\alpha}_1 dz = e_2(L) - e_2(0)$  and  $\int_{z=0}^L \dot{\alpha}_2 dz = e_1(L) - e_1(0)$ .

As in the case of finite-dimensional pHs, a general representation of infinite-dimensional pHs with boundary ports is available and given by [29, 28]:

$$\begin{aligned} \frac{\partial \boldsymbol{\alpha}}{\partial t}(z, t) &= \mathcal{J} \mathbf{e}(z, t), \\ \mathbf{u}_\partial(t) &= \mathcal{B} \boldsymbol{\alpha}(z, t), \\ \mathbf{y}_\partial(t) &= \mathcal{C} \boldsymbol{\alpha}(z, t), \end{aligned} \quad (10)$$

where  $\mathcal{J}$  is a formally skew-symmetric differential operator,  $\boldsymbol{\alpha}(z, t)$  is a vector of infinite-dimensional variables (known as energy variables),  $\mathbf{e}(z, t) := \frac{\delta H}{\delta \boldsymbol{\alpha}}$  is the vector of variational derivatives of the system Hamiltonian  $H$  (known as co-energy variables),  $\mathcal{B}$  and  $\mathcal{C}$  are boundary operators and  $\mathbf{u}_\partial$  and  $\mathbf{y}_\partial$  are the boundary ports such that  $\dot{H} = \mathbf{y}_\partial^T \mathbf{u}_\partial$ .

Several authors [30, 31, 32, 33] proposed methods for semi-discretization in space that allow obtaining a finite-dimensional approximation of infinite-dimensional port-Hamiltonian systems that preserves the port-Hamiltonian structure of the system (and the semi-discretized equations can be rewritten as Eq. 4).

### 3. Fluid dynamics: Shallow water equations with moving tank

In this section, the fluid equations for a moving tank are presented. Firstly, in §3.1, we recall the equations obtained from Euler-Lagrange formulation, as presented in [16]. This leads to equations that can be used for simulating tanks

<sup>2</sup>For the definition of variational derivative, see e.g. Def. 4.1 of [26] or Chapter 4 of [27].

<sup>3</sup>Other choices are possible, see e.g. [28].

with a prescribed trajectory. Then, in §3.2 these equations are rewritten in the port-Hamiltonian formalism. One observation that will be made in §3.2.1 is that a rigid mass is necessary to be able to write the equations in this formalism. For this reason, the tank mass is integrated in the equations. We could also include the other rigid body inertias of the tank. However, to emphasize the modularity of the port-Hamiltonian systems approach, the rigid body equations of the tank will be presented separately in §3.3.

### 3.1. Euler-Lagrange formulation

Our goal is to model the dynamics of a fluid in a moving tank, as presented in Fig. 3. The equations presented here were previously obtained in [16]. The variable  $D(t)$  represents the horizontal position of the tank (with respect to an inertial frame),  $\theta(t)$  is the angle of the tank relative to horizontal. The height of fluid is given by  $h(z, t)$ , where  $z$  is the position along the tank (measured in local coordinates). The fluid speed, measured relative to the tank is given by  $u(z, t)$ .

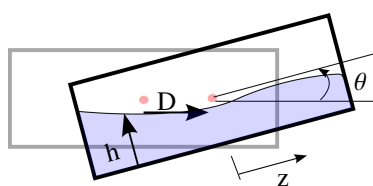


Figure 3: Moving tank

The first equation comes from the mass conservation and reads:

$$\frac{\partial h}{\partial t} + \frac{\partial}{\partial z}(hu) = 0. \quad (11)$$

The system kinetic energy is given by:

$$T = \int_{z=-a/2}^{a/2} \frac{1}{2} \rho b h \left( (u + \dot{D} \cos \theta)^2 + (-\dot{D} \sin \theta + z \dot{\theta})^2 \right) dz, \quad (12)$$

where  $\rho$  is the fluid density,  $b$  is the tank width,  $a$  is the tank length,  $\dot{D}(t)$  is the tank horizontal speed and  $\dot{\theta}(t)$  is the tank rotation rate. Notice that  $(u + \dot{D} \cos \theta)$  is the inertial fluid speed in the direction of the tank bottom,  $(-\dot{D} \sin \theta + z \dot{\theta})$  is the inertial fluid speed perpendicular to the tank bottom.

The gravitational energy of the fluid is given by:

$$U = \int_{z=-a/2}^{a/2} \rho b g \left( \frac{h^2}{2} \cos \theta + h z \sin \theta \right) dz, \quad (13)$$

where  $g$  is the gravitational acceleration.

The system Lagrangian is thus given by:

$$\mathcal{L} = T - U. \quad (14)$$

In order to find the equations of motion, we have to find the minimum Lagrangian constrained to mass conservation (Eq. 11), leading to the following variational problem:

$$\delta L = \delta(T - U) + \delta \int_{z=-a/2}^{a/2} \lambda(z, t) \left( \frac{\partial h}{\partial t} + \frac{\partial}{\partial z}(hu) \right) dz = 0. \quad (15)$$

After applying the variation with respect to  $h$ ,  $u$  and  $\lambda$ , the following equations are found (see [16] for a detailed derivation):

$$\begin{aligned}\frac{\partial h}{\partial t} &= -\frac{\partial}{\partial z}(hu), \\ \frac{\partial u}{\partial t} &= -\ddot{D} \cos \theta - \frac{\partial}{\partial z} \left( \frac{u^2}{2} + gz \sin \theta + gh \cos \theta - \frac{z^2 \dot{\theta}^2}{2} \right),\end{aligned}\tag{16}$$

with boundary conditions given by  $u(-a/2, t) = u(a/2, t) = 0$ .

These equations can be used for simulation of a tank under prescribed motion, or given by the control inputs  $D(t)$  and  $\theta(t)$ . However, coupling these equations with a more complex system is not straightforward, since the input terms of Eq. 16 are nonlinear and distributed. That is the reason why they will be rewritten under the port-Hamiltonian formalism in the subsequent subsection.

The step by step derivation presented hereafter will lead to Eqs. 49-50 and the balance Eq. 51 for the same system. This new representation has several advantages compared to Eq. 16. Firstly, it presents the equations in a physically structured way. This means that this representation highlights the system conservation laws (mass, momentum and energy conservation). Secondly, boundary and interconnection ports are defined, which allows the fluid to be coupled with a more complex system. Finally, as it will be presented in Section 6, the same properties will be preserved in the finite-dimensional approximation with the proposed method.

### 3.2. Port-Hamiltonian formulation

Here, Eqs. 16 is written as an infinite-dimensional port-Hamiltonian system such as:

$$\frac{\partial}{\partial t} \begin{bmatrix} \alpha_1 \\ \alpha_2 \end{bmatrix} = \underbrace{\begin{bmatrix} 0 & -\partial_z \\ -\partial_z & 0 \end{bmatrix}}_{\mathcal{J}} \begin{bmatrix} e_1 \\ e_2 \end{bmatrix},\tag{17}$$

where  $\mathcal{J}$  is a formally skew-symmetric operator with constant coefficients (hence it is a Hamiltonian operator, see Corollary 7.5 of [26]),  $\alpha_1(z, t)$  and  $\alpha_2(z, t)$  are infinite-dimensional variables,  $e_i := \frac{\delta H}{\delta \alpha_i}$  are the variational derivatives of the system Hamiltonian with respect to  $\alpha_i(z, t)$ . Furthermore, the fluid equations will be coupled to a finite-dimensional port-Hamiltonian system that represents the rigid-body motion of the tank. This will give rise to a mixed finite-infinite dimensional pHs (or m-pHs, see e.g. [34, 35])

Before finding the port-Hamiltonian version of Eq. 16, two simplified versions are addressed in §3.2.1 and §3.2.2: the tank moving horizontally only ( $\theta = 0$ ), and the tank with rotations only ( $D = 0$ ). Then, the full system will be presented in §3.2.3.

#### 3.2.1. Tank moving horizontally only

Considering that the tank is fixed at horizontal ( $\theta(t) = 0$ ), the equations of the moving tank (Eq. 16) become:

$$\begin{aligned}\frac{\partial h}{\partial t} &= -\frac{\partial}{\partial z}(hu), \\ \frac{\partial u}{\partial t} &= -\ddot{D} - \frac{\partial}{\partial z} \left( \frac{u^2}{2} + gh \right).\end{aligned}\tag{18}$$

Our objective is to rewrite these equations as a system of conservation laws (Eq. 17) coupled to a finite-dimensional port-Hamiltonian system representing the horizontal motion of the tank.

The kinetic and potential energies are given by:

$$T = \int_{z=-a/2}^{a/2} \frac{1}{2} \rho b h (u + \dot{D})^2 dz, \quad U = \int_{z=-a/2}^{a/2} \rho b g \frac{h^2}{2} dz.\tag{19}$$

The total energy (Hamiltonian), including the kinetic energy term for the tank with mass  $m_T$  is written as:

$$H = \int_{z=-a/2}^{a/2} \frac{1}{2} \left( \rho b h (u + \dot{D})^2 + \rho b g h^2 \right) dz + \frac{1}{2} m_T \dot{D}^2.\tag{20}$$

A new momentum variable for the rigid body translation degree of freedom is defined:

$$p(t) := \frac{\partial H}{\partial \dot{D}} = \int_{z=-a/2}^{a/2} \rho b h (u + \dot{D}) dz + m_T \dot{D}. \quad (21)$$

The following change of variables is proposed:  $\alpha_1(z, t) = bh$  and  $\alpha_2(z, t) = \rho(u(z, t) + \dot{D}(t))$ , where  $\alpha_1$  is the fluid section area and  $\alpha_2$  is the fluid momentum by area. Then,  $p(t)$  can be written as:

$$p(t) = \underbrace{\int_{z=-a/2}^{a/2} \alpha_1 \alpha_2 dz}_{M[\alpha_1, \alpha_2]} + m_T \dot{D}, \quad (22)$$

and rewriting the Hamiltonian as a function of  $\alpha_1$ ,  $\alpha_2$  and  $p$ , we have:

$$H[\alpha_1, \alpha_2, p] = \int_{z=-a/2}^{a/2} \frac{1}{2} \left( \frac{\alpha_1 \alpha_2^2}{\rho} + \rho g \frac{\alpha_1^2}{b} \right) dz + \frac{(p - M)^2}{2m_T}. \quad (23)$$

By computing the variational derivatives of  $H$  with respect to  $\alpha_1$  and  $\alpha_2$ , it is found:

$$\begin{aligned} e_1 &:= \frac{\delta H}{\delta \alpha_1} = \frac{\alpha_2^2}{2\rho} + \rho g \frac{\alpha_1}{b} - \frac{(p - M)}{m_T} \frac{\delta M}{\delta \alpha_1}, \\ &= \frac{\alpha_2^2}{2\rho} + \rho g \frac{\alpha_1}{b} - \frac{(p - M)}{m_T} \alpha_2, \\ &= \rho \frac{(u + \dot{D})^2}{2} + \rho g h - \dot{D} \rho (u + \dot{D}), \\ &= \rho \frac{u^2}{2} + \rho g h - \rho \dot{D}^2. \end{aligned} \quad (24)$$

$$\begin{aligned} e_2 &:= \frac{\delta}{\delta \alpha_2} H = \alpha_1 \frac{\alpha_2}{\rho} - \frac{(p - M)}{m_T} \frac{\delta M}{\delta \alpha_2}, \\ &= bh(u + \dot{D}) - \frac{(p - M)}{m_T} \alpha_1, \\ &= bh(u + \dot{D}) - \dot{D} bh, \\ &= bhu. \end{aligned} \quad (25)$$

Now it is possible to rewrite the dynamic equations using the port-Hamiltonian formulation:

$$\begin{aligned} \frac{\partial \alpha_1}{\partial t} &= -\frac{\partial}{\partial z} (bhu) = -\frac{\partial}{\partial z} e_2, \\ \frac{\partial \alpha_2}{\partial t} &= -\frac{\partial}{\partial z} \rho \left( \frac{u^2}{2} + gh \right) = -\frac{\partial}{\partial z} e_1. \end{aligned} \quad (26)$$

In addition, the dynamic equations for the rigid body (tank) can be found. For this, let us first compute the partial derivatives of the Hamiltonian with respect to the finite-dimensional variables  $D$  and  $p$ :

$$\begin{aligned} e_D &:= \frac{\partial H}{\partial D} = 0, \\ e_p &:= \frac{\partial H}{\partial p} = \frac{p - M}{m_T} = \dot{D}, \end{aligned} \quad (27)$$

The dynamic equations for the rigid body are thus given by:

$$\begin{aligned}\frac{\partial p}{\partial t} &= -e_D + F_{\text{ext}}, \\ \frac{\partial D}{\partial t} &= e_p.\end{aligned}\quad (28)$$

The fluid and rigid body equations can then be written as:

$$\frac{\partial}{\partial t} \begin{bmatrix} \alpha_1(z, t) \\ \alpha_2(z, t) \\ p(t) \\ D(t) \end{bmatrix} = \begin{bmatrix} 0 & -\partial_z & 0 & 0 \\ -\partial_z & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} e_1 \\ e_2 \\ e_p \\ e_D \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} F_{\text{ext}}, \quad (29)$$

and the output is given by:

$$\dot{D} = \begin{bmatrix} 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} e_1 \\ e_2 \\ e_p \\ e_D \end{bmatrix}. \quad (30)$$

The system power balance is computed:

$$\frac{dH}{dt} = \mathbf{u}_\delta^T \mathbf{y}_\delta + \dot{D} F_{\text{ext}}, \quad (31)$$

where  $\mathbf{u}_\delta := [e_1(a/2, t), e_2(-a/2, t)]^T$  and  $\mathbf{y}_\delta := [-e_2(a/2, t), e_1(-a/2, t)]^T$ .

In the specific case of a closed tank, the boundary conditions are:  $e_2(-a/2, t) = e_2(a/2, t) = 0$  (no volumetric flow through the tank walls) and the energy flow reduces to:

$$\frac{dH}{dt} = \dot{D} F_{\text{ext}}. \quad (32)$$

This final system (Eqs. 29, 30 and 32) is called a mixed finite-infinite dimensional port-Hamiltonian system (m-pHs), since it has finite-dimensional variables  $p(t)$  and  $D(t)$ , and infinite-dimensional ones  $\alpha_1(z, t)$  and  $\alpha_2(z, t)$ .

**Remark 1.** *The mass of the tank was included in the system Hamiltonian (Eq. 20) for two reasons. Firstly, the mass of the tank in the experimental device is not negligible with respect to the total mass of fluid in our experimental device. Secondly, in the case of the tank under translations, we observed that finding a port-Hamiltonian representation is not straightforward if a rigid mass is not included. Indeed, let us rewrite the Hamiltonian of Eq. 23 without the kinetic energy term for the rigid mass:*

$$H[\alpha_1, \alpha_2] = \int_{z=-a/2}^{a/2} \frac{1}{2} \left( \frac{\alpha_1 \alpha_2^2}{\rho} + \rho g \frac{\alpha_1^2}{b} \right) dz. \quad (33)$$

By computing the variational derivative with respect to  $\alpha_1$  and  $\alpha_2$ , it is found:

$$\begin{aligned}e_1 &:= \frac{\delta H}{\delta \alpha_1} = \frac{\alpha_2^2}{2\rho} + \rho g \frac{\alpha_1}{b} = \rho \left( \frac{(u + \dot{D})^2}{2} + gh \right), \\ e_2 &:= \frac{\delta H}{\delta \alpha_2} = \frac{\alpha_1 \alpha_2}{\rho} = bh(u + \dot{D}).\end{aligned}\quad (34)$$

Notice that in this case it is not possible to recover the dynamic Eq. 18 using the Hamiltonian framework (as Eq. 17):

$$\begin{aligned}\frac{\partial \alpha_1}{\partial t} &= -\frac{\partial}{\partial z} (bhu) \neq -\frac{\partial}{\partial z} e_2 = -\frac{\partial}{\partial z} bh(u + \dot{D}), \\ \frac{\partial \alpha_2}{\partial t} &= -\frac{\partial}{\partial z} \rho \left( \frac{u^2}{2} + gh \right) \neq -\frac{\partial}{\partial z} e_1 = -\frac{\partial}{\partial z} \rho \left( \frac{(u + \dot{D})^2}{2} + gh \right).\end{aligned}\quad (35)$$

This issue does not occur with the rotation dynamics, as presented in the next subsection.



### 3.2.2. Tank under rotations only

Considering now that the tank can rotate and that the horizontal displacement is  $D(t) = 0$ , Eq. 16 becomes:

$$\begin{aligned}\frac{\partial h}{\partial t} &= -\frac{\partial}{\partial z}(hu), \\ \frac{\partial u}{\partial t} &= -\frac{\partial}{\partial z}\left(\frac{u^2}{2} + gz \sin \theta + gh \cos \theta - \frac{z^2 \dot{\theta}^2}{2}\right).\end{aligned}\quad (36)$$

The kinetic and potential energies are given by:

$$\begin{aligned}T &= \int_{z=-a/2}^{a/2} \frac{1}{2} (\rho b h (u^2 + (z\dot{\theta})^2)) dz, \\ U &= \int_{z=-a/2}^{a/2} \rho b g \left(\frac{h^2}{2} \cos \theta + h z \sin \theta\right) dz.\end{aligned}\quad (37)$$

The system Hamiltonian is given by:  $H = T + U$ . A new moment variable for the rotation motion is defined:

$$p_\theta := \frac{\partial H}{\partial \dot{\theta}} = \underbrace{\left(\int \rho b h z^2 dz\right)}_{I_f(h)} \dot{\theta}, \quad (38)$$

where  $I_f$  is the rotation inertia of the fluid (which is time-dependent, since it depends on  $h(z, t)$ ).

Let us define  $\alpha_1 = bh$  and  $\alpha_2 = \rho u$  and rewrite the Hamiltonian as a function of  $\alpha_1$ ,  $\alpha_2$ ,  $p_\theta$  and  $\theta$ :

$$H[\alpha_1, \alpha_2, p_\theta, \theta] = \int_{z=-a/2}^{a/2} \left(\frac{\alpha_1 \alpha_2^2}{2\rho} + \rho g \left(\frac{\alpha_1^2}{2b} \cos \theta + \alpha_1 z \sin \theta\right)\right) dz + \frac{p_\theta^2}{2I_f}. \quad (39)$$

By computing the variational derivatives of  $H$  with respect to  $\alpha_1$  and  $\alpha_2$ , we get:

$$\begin{aligned}e_1 := \frac{\delta H}{\delta \alpha_1} &= \frac{\alpha_2^2}{2\rho} + \rho g \left(\frac{\alpha_1}{b} \cos \theta + z \sin \theta\right) - \frac{1}{2} \left(\frac{p_\theta}{I_f}\right)^2 \frac{\delta I_f}{\delta \alpha_1}, \\ &= \frac{\alpha_2^2}{2\rho} + \rho g \left(\frac{\alpha_1}{b} \cos \theta + z \sin \theta\right) - \frac{1}{2} \dot{\theta}^2 \rho z^2, \\ &= \rho \left(\frac{u^2}{2} + gh \cos \theta + gz \sin \theta - \frac{1}{2} \dot{\theta}^2 z^2\right),\end{aligned}\quad (40)$$

$$\begin{aligned}e_2 := \frac{\delta H}{\delta \alpha_2} &= \alpha_1 \frac{\alpha_2}{\rho}, \\ &= bhu.\end{aligned}\quad (41)$$

It is easy to verify that Eqs. 36 can now be rewritten using the port-Hamiltonian framework (Eq. 17):

$$\begin{aligned}\frac{\partial \alpha_1}{\partial t} &= -\frac{\partial}{\partial z}(bhu) = -\frac{\partial}{\partial z} e_2, \\ \frac{\partial \alpha_2}{\partial t} &= -\frac{\partial}{\partial z} \rho \left(\frac{u^2}{2} + gz \sin \theta + gh \cos \theta - \frac{z^2 \dot{\theta}^2}{2}\right) = -\frac{\partial}{\partial z} e_1.\end{aligned}\quad (42)$$

Finally, it is also possible to write the rotation degree of freedom equations from the Hamiltonian, by computing the partial derivatives with respect to  $p_\theta$  and  $\theta$ :

$$\begin{aligned}e_{p_\theta} &:= \frac{\partial H}{\partial p_\theta} = \frac{p_\theta}{I_f} = \dot{\theta}, \\ e_\theta &:= \frac{\partial H}{\partial \theta} = \int_{z=-a/2}^{a/2} \rho b g \left(-\frac{h^2}{2} \sin \theta + h z \cos \theta\right) dz,\end{aligned}\quad (43)$$

and the dynamic equations are:

$$\begin{aligned}\frac{\partial p_\theta}{\partial t} &= -e_\theta + M_{\text{ext}}, \\ \frac{\partial \theta}{\partial t} &= e_{p\theta}.\end{aligned}\quad (44)$$

Rewriting the fluid and rigid body equations in the matrix form, we have:

$$\frac{\partial}{\partial t} \begin{bmatrix} \alpha_1(z, t) \\ \alpha_2(z, t) \\ p_\theta(t) \\ \theta(t) \end{bmatrix} = \begin{bmatrix} 0 & -\partial_z & 0 & 0 \\ -\partial_z & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} e_1 \\ e_2 \\ e_{p\theta} \\ e_\theta \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} M_{\text{ext}}, \quad (45)$$

and the output is:

$$\dot{\theta} = \begin{bmatrix} 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} e_1 \\ e_2 \\ e_{p\theta} \\ e_\theta \end{bmatrix}. \quad (46)$$

The system energy time rate is given by:

$$\frac{dH}{dt} = \mathbf{u}_\theta^T \mathbf{y}_\theta + \dot{\theta} M_{\text{ext}}, \quad (47)$$

where  $\mathbf{u}_\theta = [e_1(a/2, t), e_2(-a/2, t)]^T$  and  $\mathbf{y}_\theta = [-e_2(a/2, t), e_1(-a/2, t)]^T$ . Again, in the case of a closed tank, the boundary conditions are:  $e_2(-a/2, t) = e_2(a/2, t) = 0$  and the energy flow reduces to  $\dot{H} = \dot{\theta} M_{\text{ext}}$ .

### 3.2.3. Tank under both translations and rotations

The procedure for obtaining the port-Hamiltonian equations is exactly the same as the one previously presented for the simplified cases with only translation or rotation. However, due to the fact that the fluid couples with the two rigid-body motions, the mathematical procedure becomes much more tedious and for this reason only the final result is presented in this section. The full development is presented in Appendix A.

The total energy is given by (Eq. A.1):

$$H = \int_{z=-a/2}^{a/2} \left( \rho b g \left( \frac{h^2}{2} \cos \theta + h z \sin \theta \right) + \frac{1}{2} \rho b h \left( (u + \dot{D} \cos \theta)^2 + (-\dot{D} \sin \theta + z \dot{\theta})^2 \right) \right) dz + \frac{1}{2} m_T \dot{D}^2. \quad (48)$$

After defining the appropriate energy variables, the following system is obtained (Eq. A.16):

$$\frac{\partial}{\partial t} \begin{bmatrix} \alpha_1(z, t) \\ \alpha_2(z, t) \\ p \\ D \\ p_\theta(t) \\ \theta(t) \end{bmatrix} = \begin{bmatrix} 0 & -\partial_z & 0 & 0 & 0 & 0 \\ -\partial_z & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} e_1^F \\ e_2^F \\ e_p^F \\ e_D^F \\ e_{p\theta}^F \\ e_\theta^F \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 0 \\ 0 & 0 \\ 0 & 1 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} F_{\text{ext}} \\ M_{\text{ext}} \end{bmatrix}, \quad (49)$$

where  $\alpha_1(z, t) = bh(z, t)$  and  $\alpha_2(z, t) = \rho(u(z, t) + \dot{D}(t) \cos \theta(t))$ ,  $p$  and  $p_\theta$  are the linear and angular momentum, as in the previous sections. The co-energy variables  $e_i^F$  are obtained from the variational or partial derivatives of the Hamiltonian with respect to each energy variable ( $\alpha_1, \alpha_2, p, D, p_\theta, \theta$ ). The superscript  $F$  stands for Fluid.

The outputs are  $\dot{D}$  and  $\dot{\theta}$ , which are conjugated with respect to the inputs  $F_{\text{ext}}$  and  $M_{\text{ext}}$  (Eq. A.17):

$$\begin{bmatrix} \dot{D} \\ \dot{\theta} \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} e_1^F \\ e_2^F \\ e_p^F \\ e_D^F \\ e_{p\theta}^F \\ e_\theta^F \end{bmatrix}. \quad (50)$$

The power balance is (Eq. A.18):

$$\frac{dH^F}{dt} = (\mathbf{u}_\theta^F)^T \mathbf{y}_\theta^F + \dot{D}F_{\text{ext}} + \dot{\theta}M_{\text{ext}}, \quad (51)$$

where  $\mathbf{u}_\theta^F = [e_1^F(a/2, t), e_2^F(-a/2, t)]^T$  and  $\mathbf{y}_\theta^F = [-e_2^F(a/2, t), e_1^F(-a/2, t)]^T$ . Now new input/output vectors with all the port variables can be defined:

$$\begin{aligned} \mathbf{y}^F &= [-e_2^F(a/2, t), e_1^F(-a/2, t), \dot{D}, \dot{\theta}]^T, \\ \mathbf{u}^F &= [e_1^F(a/2, t), e_2^F(-a/2, t), F_{\text{ext}}, M_{\text{ext}}]^T, \end{aligned} \quad (52)$$

such that the energy flow is given by  $\dot{H}^F = (\mathbf{u}^F)^T \mathbf{y}^F$ .

Assuming a tank with no flow through the walls, the boundary conditions are:  $e_2^F(-a/2, t) = e_2^F(a/2, t) = 0$  (since  $e_2^F(z, t) = bhu$  is the volumetric flow). In this case:

$$\frac{dH^F}{dt} = \dot{D}F_{\text{ext}} + \dot{\theta}M_{\text{ext}}. \quad (53)$$

150 Recall that Eqs. 49, 50 and 51 are a new representation of equation 16. This new representation (a mixed finite-  
infinite dimensional port-Hamiltonian system) brings several advantages. Eq. 49 is presented in a structured way:  
 $\mathcal{J}$  is a formally skew-symmetric matrix operator; the power balance (Eq. 51) is related to the interconnection ports;  
boundary ports define the boundary conditions and are given by the co-energy variables evaluated at the boundary. In  
particular, the definition of the conjugated input/output ports makes it easy to couple this system with a more complex  
155 one.

An additional remark that can be drawn from Eq. 49 is that two additional conservation laws are verified. By  
integrating the infinite-dimensional energy variables  $\alpha_1(z, t)$  and  $\alpha_2(z, t)$  over the domain:

$$\begin{aligned} \int_{z=-a/2}^{a/2} \dot{\alpha}_1(z, t) dz &= e_2^F(-a/2, t) - e_2^F(a/2, t), \\ \int_{z=-a/2}^{a/2} \dot{\alpha}_2(z, t) dz &= e_1^F(-a/2, t) - e_1^F(a/2, t). \end{aligned} \quad (54)$$

The first integral represents the time rate of the total volume inside the domain (since the fluid is incompressible, it  
expresses the mass conservation law). The second one represents the time rate of the total linear momentum of the  
fluid.

160 **Remark 2.** Both equations show that, similarly to the energy flow  $\dot{H}$ , these conservation laws depend only on the  
co-energy variables evaluated at the boundary.

So far, the mass of the tank was taken into account. The additional tank inertias could have been included in the  
development of the fluid equations (by including their contributions of kinetic energy to the Hamiltonian). However,  
since we want to emphasize the modularity of the port-Hamiltonian approach, we modeled the rigid tank inertias  
independently as presented in the next subsection. These inertias will thus be coupled with the full system in Section  
165 5.

### 3.3. Additional rigid tank inertias

Two additional degrees of freedom are needed to include the inertias of the tank that were not taken into account  
during the modeling presented in the previous section: rotation due to bending  $\theta_B(t)$  and rotation due to torsion  $\theta_T(t)$ .

The equations of motion are given directly by Newton's second law:

$$I_B^{RB} \ddot{\theta}_B(t) = M_{\text{ext},B}, \quad (55)$$

$$I_T^{RB} \ddot{\theta}_T(t) = M_{\text{ext},T}, \quad (56)$$

where  $I_B^{RB}$  and  $I_T^{RB}$  are the tank rotational inertias. The superscript  $RB$  stands for Rigid Body.  $M_{\text{ext},B}$  is the sum of moments in bending direction and  $M_{\text{ext},T}$  is the sum of moments in torsion direction.

Defining the following moment variables:  $p_{\theta B} := I_B^{RB} \dot{\theta}_B$ , and  $p_{\theta T} := I_T^{RB} \dot{\theta}_T$ , the previous equations are rewritten as:

$$\begin{aligned} \frac{d}{dt} \begin{bmatrix} p_{\theta B} \\ p_{\theta T} \end{bmatrix} &= 0 \begin{bmatrix} \frac{\partial H^{RB}}{\partial p_{\theta B}} \\ \frac{\partial H^{RB}}{\partial p_{\theta T}} \end{bmatrix} + \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} M_{\text{ext},B} \\ M_{\text{ext},T} \end{bmatrix}, \\ y^{RB} &= \begin{bmatrix} \dot{\theta}_B \\ \dot{\theta}_T \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} \frac{\partial H^{RB}}{\partial p_{\theta B}} \\ \frac{\partial H^{RB}}{\partial p_{\theta T}} \end{bmatrix}, \end{aligned} \quad (57)$$

where the Hamiltonian is equal to the kinetic energy:

$$H^{RB}(p_{\theta B}, p_{\theta T}) = \frac{1}{2} \left( \frac{p_{\theta B}^2}{I_B^{RB}} + \frac{p_{\theta T}^2}{I_T^{RB}} \right), \quad (58)$$

and its rate of change is given by:

$$\begin{aligned} \dot{H}^{RB} &= \dot{\theta}_B \dot{p}_{\theta B} + \dot{\theta}_T \dot{p}_{\theta T}, \\ &= \dot{\theta}_B M_{\text{ext},B} + \dot{\theta}_T M_{\text{ext},T}. \end{aligned} \quad (59)$$

Notice that these equations also represent a port-Hamiltonian system, with port variables given by:

$$\mathbf{y}^{RB} = [\dot{\theta}_B, \dot{\theta}_T]^T, \quad \mathbf{u}^{RB} = [M_{\text{ext},B}, M_{\text{ext},T}]^T. \quad (60)$$

#### 4. Structural dynamics

In this section, the structural dynamic equations are presented in the port-Hamiltonian formalism. The structure is considered as a beam with two independent motions: bending and torsion. Since the two piezoelectric patches are symmetrically distributed along the torsion axis, it is assumed that their actuation affects only the bending motion of the beam.

Several contributions were presented in the last years for modeling beams as port-Hamiltonian systems [33, 36, 37, 38, 39, 40, 41, 42]). These included linear [39] and non-linear [40] Euler-Bernoulli beams, as well as the Timoshenko beam theory [36, 41]. Here, a pHs model of a linear Euler-Bernoulli beam with distributed piezoelectric actuators is used (as in [33]), for sake of simplicity. Moreover, despite the simplifications, this model is accurate enough for our problem, since the cross-section dimensions of the beam of the experimental device are small (in comparison with the beam length), and we are only interested on the low-frequency behavior.

##### 4.1. Bending

A beam with a piezoelectric patch attached to its surface is considered as presented in Fig. 4. The beam has the following properties: length  $L$ , thickness  $t$ , width  $b$ , section area  $S = bt$ , density  $\rho$ , Young modulus  $E$ . The patch has the following properties: length  $(b - a)$ , thickness  $t_p$ , width  $b_p$ , section area  $S_p = b_p t_p$ , density  $\rho_p$ , Young modulus  $E_p$  and the piezoelectric charge constant  $\gamma$ . Using the assumptions for a long beam under small displacements<sup>4</sup> and assuming that the electric field is constant through the piezoelectric patch thickness, the following partial differential equation can represent the beam dynamics (see e.g. [43, 33]):

$$\mu(z) \ddot{w} = - \partial_z^2 \left( \kappa(z) \partial_z^2 w \right) + \partial_z^2 \left( \Pi_{ab}(z) k_p v(z, t) \right), \quad (61)$$

<sup>4</sup>The section rotational inertia is neglected and the beam cross-section is assumed to not deform.

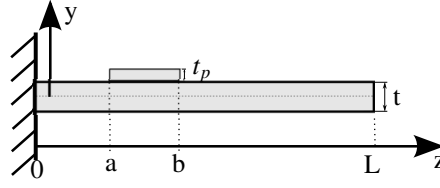


Figure 4: Beam with piezoelectric patch

where  $v(z, t)$  is the applied voltage,  $\mu(z)$  is the mass density per unit length,  $\kappa(z)$  is the flexural rigidity,  $k_p$  is a piezoelectric influence constant and  $\Pi_{ab}(z)$  is the rectangular function, which are defined as:

$$\begin{aligned}\mu(z) &:= \rho S + \rho_p S_p \Pi_{ab}(z), \\ \kappa(z) &:= EI + \Pi_{ab}(z) E_p I_p, \\ k_p &:= \frac{\gamma I_{p,1}}{t_p}, \\ \Pi_{ab}(z) &:= \begin{cases} 0, & z \leq a \\ 1, & a < z < b \\ 0, & b \leq z \end{cases}.\end{aligned}\quad (62)$$

This equation is written using the port-Hamiltonian formalism as explained below. First, the system Hamiltonian is given by:

$$H^B[x_1^B, x_2^B] = \frac{1}{2} \int_{z=0}^L \left( \frac{x_1^B(z, t)^2}{\mu(z)} + \kappa(z) x_2^B(z, t)^2 \right) dz, \quad (63)$$

where  $x_1^B(z, t)$  and  $x_2^B(z, t)$  are the energy variables, defined as follows:

$$\begin{aligned}x_1^B(z, t) &:= \mu(z) \dot{w}(z, t), \\ x_2^B(z, t) &:= \partial_{z^2}^2 w(z, t).\end{aligned}\quad (64)$$

<sup>185</sup> The superscript  $B$  stands for beam.

The variational derivatives of the Hamiltonian (Eq. 63) with respect to  $x_1^B$  and  $x_2^B$  are given by:

$$\begin{aligned}e_1^B(z, t) &:= \frac{\delta H^B}{\delta x_1^B} = \frac{x_1^B(z, t)}{\mu(z)} = \dot{w}(z, t), \\ e_2^B(z, t) &:= \frac{\delta H^B}{\delta x_2^B} = \kappa(z) x_2^B(z, t).\end{aligned}\quad (65)$$

Notice that  $e_1^B$  is the local vertical speed, and  $e_2^B$  is the local bending moment.

Eq. 61 can thus be rewritten as:

$$\begin{bmatrix} \dot{x}_1^B \\ \dot{x}_2^B \end{bmatrix} = \underbrace{\begin{bmatrix} 0 & -\partial_{z^2}^2 \\ \partial_{z^2}^2 & 0 \end{bmatrix}}_{\mathcal{J}} \begin{bmatrix} e_1^B \\ e_2^B \end{bmatrix} + \begin{bmatrix} \partial_{z^2}^2 \\ 0 \end{bmatrix} \Pi_{ab}(z) k_p v(z, t), \quad (66)$$

where  $\mathcal{J}$  is a formally skew-symmetric operator.

The time-derivative of the Hamiltonian is computed as:

$$\begin{aligned}
 \dot{H}^B &= \int_{z=0}^L (e_1^B \dot{x}_1^B + e_2^B \dot{x}_2^B) dz, \\
 &= \int_{z=0}^L (e_1^B (-\partial_z^2 e_2^B + \partial_z^2 \Pi_{ab}(z) k_p v(z, t)) + e_2^B \partial_z^2 e_1^B) dz, \\
 &= \int_{z=0}^L (\partial_z (-e_1^B \partial_z (e_2^B) + \partial_z (e_1^B) e_2^B) \\
 &\quad + e_1^B \partial_z^2 \Pi_{ab}(z) k_p v(z, t)) dz, \\
 &= (-e_1^B \partial_z (e_2^B) + \partial_z (e_1^B) e_2^B) \Big|_{z=0}^L + \int_{z=a}^b k_p v(z, t) \partial_z^2 e_1^B dz. \tag{67}
 \end{aligned}$$

The first part of  $\dot{H}^B$  depends only on the boundary values of  $e_1^B$  (vertical speed),  $e_2^B$  (moment),  $\partial_z e_1^B$  (rotation speed) and  $\partial_z e_2^B$  (force). As in the previous sections, this motivates the definition of the boundary-ports. From Eq. 67, one possible definition is as follows:

$$\mathbf{y}_\partial^B := \begin{bmatrix} f_{1\partial}^B \\ f_{2\partial}^B \\ f_{3\partial}^B \\ f_{4\partial}^B \end{bmatrix} := \begin{bmatrix} \partial_z e_2^B(0) \\ -e_2^B(0) \\ -e_1^B(L) \\ \partial_z e_1^B(L) \end{bmatrix}, \quad \mathbf{u}_\partial^B = \begin{bmatrix} e_1^B(0) \\ e_2^B(0) \\ e_3^B(L) \\ e_4^B(L) \end{bmatrix} = \begin{bmatrix} e_1^B(0) \\ \partial_z e_1^B(0) \\ \partial_z e_2^B(L) \\ e_2^B(L) \end{bmatrix}. \tag{68}$$

The second part of  $\dot{H}^B$  depends on the distributed voltage  $v(z, t)$ . It also motivates the definition of a power-conjugated output to  $v(z, t)$  given by:

$$y^B(z, t) := k_p \partial_z^2 e_1^B, \quad a < z < b. \tag{69}$$

The final energy flow ( $\dot{H}^B$ ) can thus be written as:

$$\dot{H}^B = \mathbf{y}_\partial^{BT} \mathbf{u}_\partial^B + \int_{z=a}^b v(z, t) y^B(z, t) dz. \tag{70}$$

This system is an infinite-dimensional pHs with boundary ports  $\mathbf{u}_\partial^B$  and  $\mathbf{y}_\partial^B$ , and distributed ports  $v(z, t)$  and  $y^B(z, t)$  (see e.g. §4.2.6 in [27]). In practice, for a single piezoelectric patch  $v(z, t) = v(t)$  (the voltage is uniform along the patch). In this case,  $\dot{H}^B$  classically becomes:

$$\begin{aligned}
 \dot{H}^B &= \mathbf{y}_\partial^{BT} \mathbf{u}_\partial^B + k_p \partial_z e_1^B \Big|_{z=a}^b v(t), \\
 &= \mathbf{y}_\partial^{BT} \mathbf{u}_\partial^B + k_p (\partial_z e_1^B(b) - \partial_z e_1^B(a)) v(t) \tag{71}
 \end{aligned}$$

This energy flow motivates the definition of  $v^*$ , which is the conjugate output of the applied voltage  $v$ :

$$v^* := k_p (\partial_z e_1^B(b) - \partial_z e_1^B(a)). \tag{72}$$

Finally, the full vector of inputs and outputs of the bending model can be defined as:

$$\mathbf{y}^B := \begin{bmatrix} \partial_z e_2^B(0) \\ -e_2^B(0) \\ -e_1^B(L) \\ \partial_z e_1^B(L) \\ v^* \end{bmatrix}, \quad \mathbf{u}^B = \begin{bmatrix} e_1^B(0) \\ \partial_z e_1^B(0) \\ \partial_z e_2^B(L) \\ e_2^B(L) \\ v \end{bmatrix}, \tag{73}$$

and the energy flow is equal to  $\dot{H}^B = (\mathbf{u}^B)^T \mathbf{y}^B$ .

**Remark 3.** Notice that the energy exchange depends on the system's boundary conditions and distributed ports. In the clamped-free beam, for example, the following boundary conditions apply:

- Clamped end:  $e_1^B(0, t) = 0$  and  $\frac{\partial}{\partial z} e_1^B(0, t) = 0$ ;
- Free end:  $e_2^B(L, t) = 0$  and  $\frac{\partial}{\partial z} e_2^B(L, t) = 0$ .

In this specific case:  $\dot{H}^B = v^*(t)v(t)$ . In our case, the flexible beam is connected to a rigid tank with fluid, so the free-end boundary conditions are:

$$\dot{H}^B = e_2^B(L)\partial_z e_1^B(L) - \partial_z e_2^B(L)e_1^B(L) + v^*(t)v(t). \quad (74)$$

**Remark 4.** In Eq. 66, the input operator is unbounded and the rectangular function is discontinuous. Despite of these difficulties, existence and uniqueness results for such systems can be found in [43] (Chapter 4). During the semi-discretization of these equations, a weak formulation has to be used to overcome these difficulties, as presented in [33].

#### 4.2. Torsion

The equations of a beam in torsion can be approximated by<sup>5</sup>:

$$\frac{\partial}{\partial z} \left( GJ \frac{\partial}{\partial z} \theta(z, t) \right) = I_p \frac{\partial^2}{\partial t^2} \theta(z, t), \quad 0 \leq z \leq L, \quad (75)$$

where  $\theta(z, t)$  is the local torsional angle,  $z$  is the position along the beam,  $t$  is time,  $G$  is the material shear constant,  $J$  is the section torsion constant and  $I_p$  is the section polar moment of inertia per unit length. Defining as energy variables  $x_1^T := \frac{\partial \theta}{\partial z}$  and  $x_2^T := -I_p \frac{\partial \theta}{\partial t}$ , we get:

$$\frac{\partial}{\partial t} \begin{bmatrix} x_1^T \\ x_2^T \end{bmatrix} = \begin{bmatrix} 0 & -\partial_z \\ -\partial_z & 0 \end{bmatrix} \begin{bmatrix} e_1^T \\ e_2^T \end{bmatrix}, \quad (76)$$

where  $e_1^T = GJx_1^T = GJ \frac{\partial w}{\partial z}$  and  $e_2^T = \frac{x_2^T}{I} = -\frac{\partial \theta}{\partial t}$ , which are the variational derivatives of the Hamiltonian, given by:

$$H^T(x_1^T, x_2^T) = \frac{1}{2} \int_{z=0}^L \left( GJ(x_1^T)^2 + \frac{(x_2^T)^2}{I_p} \right) dz. \quad (77)$$

Notice that  $e_1^T$  is the moment of torsion and  $e_2^T$  is the torsion angular velocity. The time-derivative of the Hamiltonian can be computed as:

$$\dot{H}^T = (\mathbf{u}^T)^T \mathbf{y}^T, \quad (78)$$

where:

$$\mathbf{y}^T = \left[ -e_2^T(L, t) \quad e_1^T(0, t) \right]^T, \quad \mathbf{u}^T = \left[ e_1^T(L, t) \quad e_2^T(0, t) \right]^T. \quad (79)$$

Again, it is possible to see that the energy flows through the boundaries.

In the fixed-free case, for example, the following boundary conditions apply:

- Fixed end:  $e_2^T(0, t) = 0$ ;
- Free end:  $e_1^T(L, t) = 0$ ,

and the system is power conserving:  $\dot{H}^T = 0$ . In this work, the beam is clamped at  $z = 0$  ( $e_2^T(0, t) = 0$ ) and connected to the tank at  $z = L$ . For this reason,  $\dot{H}^T$  is equal to:

$$\dot{H}^T = -e_2^T(L, t)e_1^T(L, t). \quad (80)$$

<sup>5</sup>This equation considers Saint-Venant theory of torsion. In addition, it is considered that torsion is uncoupled from transverse deflection. A detailed derivation of this equation is presented by [44] (section 2.3.1)

## 5. Coupling

It is now time to couple all the elements of the system, which consists of the fluid, the rigid tank and the beam. Firstly, the kinematic constraints that naturally arise at the interconnection point are written:

- Translation speeds of each subsystem are equal (1 constraint):

$$e_1^B(L, t) = \dot{D}. \quad (81)$$

- Rotation speeds in bending are equal (1 constraint):

$$\dot{\theta}_B = \frac{\partial e_1^B}{\partial z}(L, t). \quad (82)$$

- Rotation speeds in torsion are equal (2 constraints):

$$\dot{\theta}_T = -e_2^T(L, t) = \dot{\theta}_F. \quad (83)$$

Secondly, the Hamiltonian of the global system is written as the sum of each Hamiltonian component (Eqs. A.5, 58, 74 and 77):

$$H = H^F + H^{RB} + H^B + H^T. \quad (84)$$

Finally, by using the sum of each Hamiltonian component rate of change (Eqs. 53, 59, 74 and 80), and imposing the four kinematic constraints from Eqs. 81, 82 and 83, the following global Hamiltonian rate of change is obtained:

$$\dot{H} = + \underbrace{\dot{D} \left( -\frac{\partial}{\partial z} e_2^B(L, t) + F_{\text{ext}} \right)}_{F_\Sigma} + \underbrace{\dot{\theta}_B \left( e_2^B(L, t) + M_{\text{ext},B} \right)}_{M_{\Sigma,B}} + \underbrace{\dot{\theta}_T \left( e_1^T(L, t) + M_{\text{ext},T} + M_{\text{ext}} \right)}_{M_{\Sigma,T}} + v^*(t)v(t). \quad (85)$$

Notice that  $F_\Sigma$ ,  $M_{\Sigma,B}$  and  $M_{\Sigma,T}$  are the sum of external forces/moments applied to each subsystem. From a global system perspective, they are the sum of internal forces/moments at the interconnection point, which should be equal to zero:

$$\begin{aligned} F_\Sigma &= 0, \\ M_{\Sigma,B} &= 0, \\ M_{\Sigma,T} &= 0. \end{aligned} \quad (86)$$

Since no damping has been taken into account in the modeling of the different components, the only energy change in the global system is due to the piezoelectric excitation. Hence, when imposing the constraints from Eq. 86, the energy flow becomes:

$$\dot{H} = v^*(t)v(t). \quad (87)$$

**Remark 5.** Remind that in addition to the boundary conditions that comes from the seven constraints presented before, a few additional boundary conditions have been assumed for each infinite-dimensional subsystem:

- No flow through the tank walls:  $e_2^F(-a/2, t) = e_2^F(a/2, t) = 0$ ;
- Fixed end for the torsion:  $e_2^T(0, t) = 0$ ;
- Fixed end for the bending:  $e_1^B(0, t) = \frac{\partial e_1^B}{\partial z}(0, t) = 0$ .



## 210 6. Numerical and experimental results

In the previous sections finite- and infinite-dimensional port-Hamiltonian systems that represent each of the sub-models of our fluid-structure interactions system have been established.

As presented in Eq. 10, infinite-dimensional port-Hamiltonian systems can be written as:

$$\begin{aligned} \frac{\partial \boldsymbol{\alpha}}{\partial t}(z, t) &= \mathcal{J} \mathbf{e}(z, t), \\ \mathbf{u}(t) &= \mathcal{B} \boldsymbol{\alpha}(z, t), \\ \mathbf{y}(t) &= \mathcal{C} \boldsymbol{\alpha}(z, t). \end{aligned} \quad (88)$$

In order to numerically simulate the coupled system, it is necessary to transform these equations into finite-dimensional equations. In addition, it is important that the semi-discretized model does preserve the port-Hamiltonian structure of the system, leading to equations of the form:

$$\begin{cases} \dot{\mathbf{x}} &= J(\mathbf{x}) \tilde{\mathbf{e}} + B \mathbf{u}, \\ \mathbf{y} &= B^T \tilde{\mathbf{e}} + D \mathbf{u}, \end{cases} \quad (89)$$

where  $\mathbf{x}(t)$  is the vector of approximated energy variables,  $\tilde{\mathbf{e}} := \frac{\partial}{\partial \mathbf{x}} H_d(\mathbf{x})$  is the vector of co-energy variables, given by the gradient of the system discretized Hamiltonian ( $H_d(\mathbf{x})$ ),  $\mathbf{u}$  and  $\mathbf{y}$  are the vectors of the boundary ports. Moreover,  $J(\mathbf{x})$  is the interconnection matrix and  $D$  is the feedthrough matrix, both of them being necessarily skew-symmetric.

The semi-discretization in space of infinite-dimensional port-Hamiltonian systems was studied by several authors [30, 31, 32]. In this paper, we follow the work of Moulla et al. [31], which uses a pseudo-spectral (interpolation) method to approximate the system. Thanks to the convergence characteristics of the pseudo-spectral methods [45, 46], they require only a small number of finite-dimensional states yet exhibiting very good precision.

Two types of  $\mathcal{J}$  operators appear in the models of this paper. In the case of the fluid and torsion equations,  $\mathcal{J}$  is a first-order differential operator:

$$\mathcal{J}_1 = \begin{bmatrix} 0 & \partial_z \\ \partial_z & 0 \end{bmatrix}, \quad (90)$$

which is related to the following input/output boundary ports:

$$\mathbf{y} = \mathcal{B} \boldsymbol{\alpha} = \begin{bmatrix} e_2(L) & -e_1(0) \end{bmatrix}, \quad \mathbf{u} = \mathcal{C} \boldsymbol{\alpha} = \begin{bmatrix} e_1(L) & e_2(0) \end{bmatrix}. \quad (91)$$

The semi-discretization of first-order operators was presented in [31]. In the case of the bending equations,  $\mathcal{J}$  is a second-order differential operator:

$$\mathcal{J}_2 = \begin{bmatrix} 0 & -\partial_z^2 \\ \partial_z^2 & 0 \end{bmatrix}, \quad (92)$$

with ports:

$$\begin{aligned} \mathbf{y} &= \mathcal{C} \boldsymbol{\alpha} = \begin{bmatrix} \partial_z e_2(0, t) & -e_2(0, t) & -e_1(L, t) & \partial_z e_1(L, t) \end{bmatrix}^T, \\ \mathbf{u} &= \mathcal{B} \boldsymbol{\alpha} = \begin{bmatrix} e_1(0, t) & \partial_z e_1(0, t) & \partial_z e_2(L, t) & e_2(L, t) \end{bmatrix}^T. \end{aligned} \quad (93)$$

220 The equation for the beam with piezoelectric actuators (Eq. 66) presents an additional difficulty that prevents the use of pseudo-spectral methods directly: it has an unbounded input operator (a second order spatial derivation of the non-smooth rectangular function). A solution to tackle both of these problems is addressed in [33]: a weak formulation is used to overcome the derivation of the non-smoothness function, and the method of [31] is extended for second-order differential operators.

Once each of the infinite-dimensional equations has been approximated using the technique presented above, a set of equations of the following form is obtained:

$$\begin{cases} \dot{\mathbf{x}}^i(t) &= J_d^i \frac{\partial H_d^i}{\partial \mathbf{x}^i}(\mathbf{x}^i(t)) + B^i \mathbf{u}^i(t), \\ \mathbf{y}^i(t) &= (B^i)^T \frac{\partial H_d^i}{\partial \mathbf{x}^i}(\mathbf{x}^i(t)) + D^i \mathbf{u}^i(t), \end{cases} \quad (94)$$

225 where the superscript  $i$  stands for  $B$ ,  $T$  and  $F$  (bending, torsion and fluid equations),  $\mathbf{x}^i(t)$  is the energy variables vector,  $J^i$  and  $D^i$  are skew-symmetric matrices,  $\mathbf{u}^i(t)$  and  $\mathbf{y}^i(t)$  are respectively the input and output  $m^i$ -dimensional vectors. Notice that in the case of torsion,  $\mathbf{u}^T(t)$  and  $\mathbf{y}^T(t)$  are the boundary ports. In the bending case, the input/output pair includes the boundary ports as well as the distributed ports related to the piezoelectric voltage. In the case of the fluid equations, the input/output pair is given by two boundary ports and also two rigid body ports (force and moment as inputs, speed and angular velocity as outputs).

230 In addition to the discretized beam and fluid systems, rigid body equations were presented (from Eqs. 55 and 56) for the tank rotations. By concatenating each state-variable as:  $\mathbf{x} = [\mathbf{x}^B \quad \mathbf{x}^T \quad \mathbf{x}^F \quad \mathbf{x}^{RB}]^T$ , it is possible to rewrite the full model using exactly the same framework as for each component individually:

$$\begin{cases} \dot{\mathbf{x}}(t) &= J \frac{\partial H_d}{\partial \mathbf{x}}(\mathbf{x}(t)) + B\mathbf{u}(t), \\ \mathbf{y}(t) &= (B)^T \frac{\partial H_d}{\partial \mathbf{x}}(\mathbf{x}(t)) + D\mathbf{u}(t), \end{cases} \quad (95)$$

where  $J$ ,  $B$  and  $D$  are the block-diagonal matrices obtained from each component  $J^i$ ,  $B^i$  and  $D^i$  matrices. The discrete global Hamiltonian  $H_d(\mathbf{x}(t))$  is the sum of each  $H_d^i(\mathbf{x}^i(t))$ . The input and output vectors are obtained from Eqs. 73, 79, 60 and 52:

$$\begin{aligned} \mathbf{u}(t) &= \left[ e_1^B(0) \quad \partial_z e_1^B(0) \quad \partial_z e_2^B(L) \quad e_2^B(L) \quad v \mid e_1^T(L) \quad e_2^T(0) \mid e_1^F(a/2) \quad e_2^F(-a/2) \quad F_{ext}^F \quad M_{ext}^F \mid M_{ext,B}^{RB} \quad M_{ext,T}^{RB} \right]^T, \\ \mathbf{y}(t) &= \left[ \partial_z e_2^B(0) \quad -e_2^B(0) \quad -e_1^B(L) \quad \partial_z e_1^B(L) \quad v^* \mid -e_2^T(L) \quad e_1^T(0) \mid -e_2^F(a/2) \quad e_1^F(-a/2) \quad \dot{D} \quad \dot{\theta}^F \mid \dot{\theta}_B^{RB} \quad \dot{\theta}_T^{RB} \right]^T. \end{aligned} \quad (96)$$

The coupling between all the equations is given by the four kinematic and three dynamic constraints (Eqs. 81, 82, 83, 86).

235 There are also five fixed boundary conditions:  $e_2^F(-a/2) = e_2^F(a/2) = 0$  (no flow through tank walls) and  $e_1^B(0) = \partial_z e_1^B(0) = 0$  for the bending fixed-end and  $e_2^T(0) = 0$  for the torsion fixed-end. Four of these boundary conditions are related to variables of the input vector  $\mathbf{u}$ . Only  $e_2^F(a/2) = 0$  is related to an output and, for this reason, will represent one additional constraint to the problem. Finally, the piezoelectric applied voltage is also related to an input:  $v = V(t)$ .

We can reorganize the input and output vector to keep only the constrained port-variables  $\mathbf{u}_c$  and  $\mathbf{y}_c$ , such that Eq. 95 becomes:

$$\begin{cases} \dot{\mathbf{x}}(t) &= J \frac{\partial H_d}{\partial \mathbf{x}}(\mathbf{x}(t)) + B_c \mathbf{u}_c(t) + B_v v(t), \\ \mathbf{y}_c(t) &= (B_c)^T \frac{\partial H_d}{\partial \mathbf{x}}(\mathbf{x}(t)), \end{cases} \quad (97)$$

where:

$$\begin{aligned} \mathbf{u}_c(t) &= \left[ \partial_z e_2^B(L) \quad e_2^B(L) \mid e_1^T(L) \mid e_1^F(a/2) \quad F_{ext}^F \quad M_{ext}^F \mid M_{ext,B}^{RB} \quad M_{ext,T}^{RB} \right]^T, \\ \mathbf{y}_c(t) &= \left[ -e_1^B(L) \quad \partial_z e_1^B(L) \mid -e_2^T(L) \mid -e_2^F(a/2) \quad \dot{D} \quad \dot{\theta}^F \mid \dot{\theta}_B^{RB} \quad \dot{\theta}_T^{RB} \right]^T. \end{aligned} \quad (98)$$

Notice that the four kinematic constraints (Eqs. 81, 82, 83) and the no-flow condition ( $e_2^F(a/2) = 0$ ) are linear functions of the output variables. So it is possible to write them as:

$$\mathcal{M} \mathbf{y}_c = 0, \quad (99)$$

where  $\mathcal{M}$  is a  $5 \times 8$  matrix. Similarly, the three dynamic constraints (Eq. 86) are linear functions of the input variables:

$$\mathcal{N} \mathbf{u}_c = 0, \quad (100)$$

where  $\mathcal{N}$  is a  $3 \times 8$  matrix. Since  $\mathbf{u}_c$  represents a vector of 8 unknowns subject to 3 constraints, it is possible to rewrite it as a function of only 5 unknowns:  $\mathbf{u}_c = G\boldsymbol{\lambda}$ , where  $\boldsymbol{\lambda} \in \mathbb{R}^5$  is the vector of Lagrange multipliers.

Additionally, since the interconnections are power-preserving ( $\mathbf{u}_c^T \mathbf{y}_c = 0$ ), it is easy to verify that  $\mathcal{M} = G^T$ . Thus, the coupled equations can be written as the constrained port-Hamiltonian system:

$$\begin{aligned} \dot{\mathbf{x}}(t) &= J \frac{\partial H_d}{\partial \mathbf{x}}(\mathbf{x}(t)) + B_v v(t) + B_c G \boldsymbol{\lambda}(t), \\ 0 &= G^T (B_c)^T \frac{\partial H_d}{\partial \mathbf{x}}(\mathbf{x}(t)). \end{aligned} \quad (101)$$

240 These equations can be used for simulation using numerical integration methods for differential-algebraic equations (DAEs) [47, 48]. Additionally, under the condition that the  $5 \times 5$  symmetric matrix  $G^T(B_c)^T \frac{\partial^2 H_d}{\partial \mathbf{x}^2} B_c G$  has full rank, the algebraic constraint can be eliminated (see e.g. [49]), leading to an explicit set of ordinary differential equations, which can be used for nonlinear time-domain simulation thanks to classical numerical methods for ODEs.

Now, in order to analyze the frequency response of the experimental set up, a linear model has to be taken into account. With the exception of the fluid equations, all the other equations presented in the previous sections are linear. The fluid equations are linearized around the equilibrium condition<sup>6</sup>. Thus, the gradient of all Hamiltonians can be written as a linear function of the state variables:

$$\frac{\partial H_d}{\partial \mathbf{x}} = Q\mathbf{x}, \quad (102)$$

then, the coupled system can be written using a linear descriptor state-space (DSS) formulation:

$$\underbrace{\begin{bmatrix} I & 0 \\ 0 & 0 \end{bmatrix}}_E \frac{\partial}{\partial t} \begin{bmatrix} \mathbf{x} \\ \boldsymbol{\lambda} \end{bmatrix} = \underbrace{\begin{bmatrix} JQ & B_c G \\ G B_c^T Q & 0 \end{bmatrix}}_A \begin{bmatrix} \mathbf{x} \\ \boldsymbol{\lambda} \end{bmatrix} + \begin{bmatrix} B_v \\ 0 \end{bmatrix} v(t). \quad (103)$$

245 This linearized system can also be used for simulation. In addition, from the generalized eigenvalues of (E,A), it is possible to find the modes of the coupled system and to compare them to experimentally measured natural frequencies. Finally, the output matrix (C) of the DSS is chosen such that the output of the system is the tip speed or acceleration. Then, the resulting system is used to compare with experimental results (frequency response, for example).

250 In order to validate the numerical approach and verify the convergence of the method, each of the subsystems was first analyzed separately (beam in bending, torsion and fluid). The approximated natural frequencies of each subsystem were computed from the semi-discretization model and compared to known analytical expressions in Appendix B.1. These analytical expressions were obtained from the modal decomposition of the original linearized, constant-coefficient, homogeneous partial differential equations. Additionally, in Appendix B.2, we show that in the inhomogeneous case, where no analytical solution is known, the numerical scheme exhibits a good agreement with experimental results.

### 6.1. Results for the fully coupled system: frequency response

255 The fully coupled system is validated by comparing the frequency response of the discretized finite-dimensional model with the measured frequency response.

260 The natural frequencies of the coupled system, obtained from generalized eigenvalues of the (E, A) matrices (Eq. 103) are presented for two filling ratios in Tables 1 and 2. The frequency responses for the same filling ratios are presented in Figs. 5 and 6. The input is the voltage applied to the piezoelectric patches and the output is the speed of the tank. All results are compared with experimental ones.

The first five modes of Tables 1 and 2 are mainly due to the coupling between the sloshing dynamics and the first bending mode of the structure. The sixth mode is dominated by the torsion dynamics. The seventh and eighth modes are bending modes.

265 The fluid dynamics also introduces modes that are symmetric with respect to the center of the tank. The natural frequencies of these modes are not presented in Tables 1 and 2 since they do not interact with the structure (they are not observable nor controllable).

270 Notice that a quite good agreement with experimental results is obtained. For the 25% filling ratio, most modes agree with an error less than 7%. Larger errors appears for larger filling ratios, specially for modes 3 to 5: one of the reasons is that the fluid equations used in this paper assume the shallow water hypothesis, which is more accurate for small filling ratios of the tank.

<sup>6</sup> The linearization is obtained by computing the Hessian matrix of the Hamiltonian at the equilibrium point, which can be easily done using automatic differentiation. In this case:  $Q^F = \frac{\partial^2 H^F}{\partial \mathbf{x}^2}(\mathbf{x}_{eq})$ .

Table 1: Coupled fluid-structure with 25% filled tank: approximated natural frequencies obtained from the semi-discretization model computed for different values of  $N$  basis functions and comparison with the experimental results.

Mode	N = 3		N = 6		N = 9		N = 12		Experimental
	Freq. (Hz)	Error (%)	Freq. (Hz)	Error (%)	Freq. (Hz)	Error (%)	Freq. (Hz)	Error (%)	Freq. (Hz)
1	0.44	6.5	0.43	7.0	0.43	7.0	0.43	7.0	0.47
2	1.24	7.9	1.18	3.2	1.18	3.1	1.18	3.1	1.15
3			1.45	3.4	1.43	4.9	1.43	4.9	1.50
4			3.99	67.7	2.32	2.3	2.29	3.8	2.38
5					4.32	46.9	3.24	10.4	2.94
6	8.42	5.1	8.42	5.1	8.42	5.1	8.42	5.1	8.01
7	9.64	0.3	9.52	0.9	9.51	1.0	9.51	1.0	9.61
8	25.40	3.1	23.64	4.0	23.63	4.1	23.63	4.1	24.63

Table 2: Coupled fluid-structure with 50% filled tank: approximated natural frequencies obtained from the semi-discretization model computed for different values of  $N$  basis functions and comparison with the experimental results.

Mode	N = 3		N = 6		N = 9		N = 12		Experimental
	Freq. (Hz)	Error (%)	Freq. (Hz)	Error (%)	Freq. (Hz)	Error (%)	Freq. (Hz)	Error (%)	Freq. (Hz)
1	0.59	6.6	0.59	6.2	0.59	6.2	0.59	6.2	0.55
2	1.31	9.1	1.26	5.6	1.26	5.6	1.26	5.6	1.20
3			2.16	13.4	2.13	11.4	2.12	11.4	1.91
4			6.05	124.6	3.49	29.5	3.43	27.3	2.69
5					6.47	98.1	4.85	48.3	3.27
6	6.95	0.4	6.95	0.5	6.95	0.5	6.95	0.4	6.92
7	9.61	3.9	9.54	3.1	9.52	2.9	9.52	2.9	9.25
8	25.39	9.9	23.64	2.3	23.63	2.3	23.64	2.3	23.10

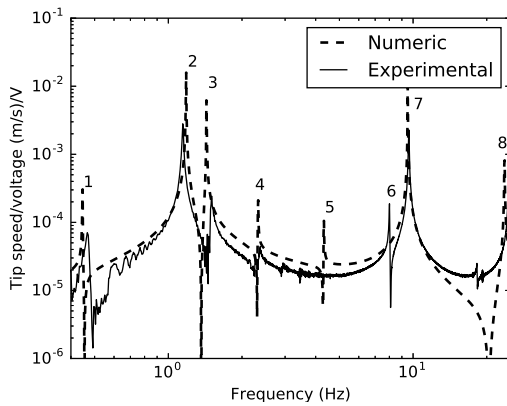


Figure 5: Frequency response of the fluid-structure coupled system: comparison between the numerical model with experimental results (tank 25% filled). The numbers indicate the mode number as in Table 1. A good agreement is observed for the first four coupled sloshing-structure modes.

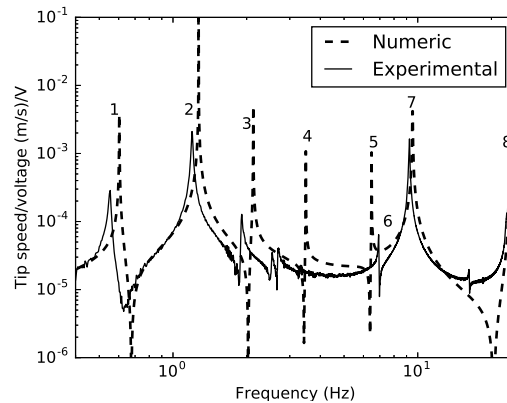


Figure 6: Frequency response of the fluid-structure coupled system: comparison between the numerical model with experimental results (tank 50% filled). The numbers indicate the mode number as in Table 2. Only the first two sloshing modes are well represented. The larger discrepancy is explained by the use of shallow water hypothesis, which validity is reduced for larger filling ratios.

## 6.2. Nonlinear simulations in the time-domain

One of the main interests of using the port-Hamiltonian formulation is that it allows representing nonlinear systems. Although the fluid equations presented in this paper are nonlinear, the numerical results presented above were obtained after linearizing the equations. Hereafter, an example of nonlinear simulation is presented.

Fig. 7 shows the snapshots of the fluid height in a moving tank. The fluid starts in still condition and is excited using harmonic voltages for the piezoelectric patches, with a frequency close to the first natural frequency of sloshing. Moreover, the simulations are run for two different amplitudes of the voltages to generate small and large fluid motion

280 amplitudes. Fig. 7 shows the result after 11 seconds of simulation. The amplitude of the oscillations are 100 times larger in Fig. 7 - Right, which corresponds to the higher voltage simulation. In each figure, two curves are presented: one from the simulation using the nonlinear equations and the other using a linearized version of the fluid model. For small amplitude motions (Fig. 7 - Left), the linear and nonlinear simulations coincide and the shape of the waves is similar to the first modal deformation of the fluid. For large amplitude motions (Fig. 7 - Right), a nonlinear wave behavior appears, and the linear and nonlinear results are clearly different. Fig. 8 shows the time response of the tip speed of the beam for these same simulations. For large amplitudes (Fig. 8 - Right), the fluid nonlinear behavior affects the structural dynamics response, reducing the amplitude of the vibrations.

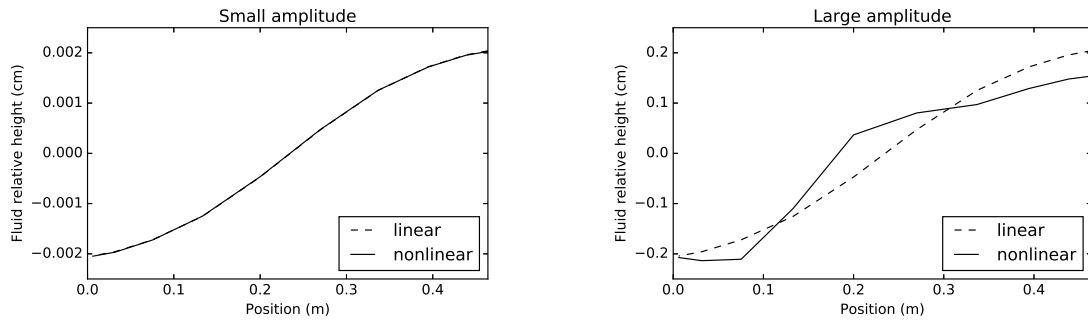


Figure 7: Sloshing-structure simulation: the system starts in still condition and is harmonically excited with frequency close to the first natural frequency. The figures show a snapshot of the fluid height after 11 seconds of simulation. On the left with small amplitude excitation, linear and nonlinear simulations give the same results. On the right with 100 times larger amplitude excitation, two different behaviors can be observed: a nonlinear sloshing wave appears.

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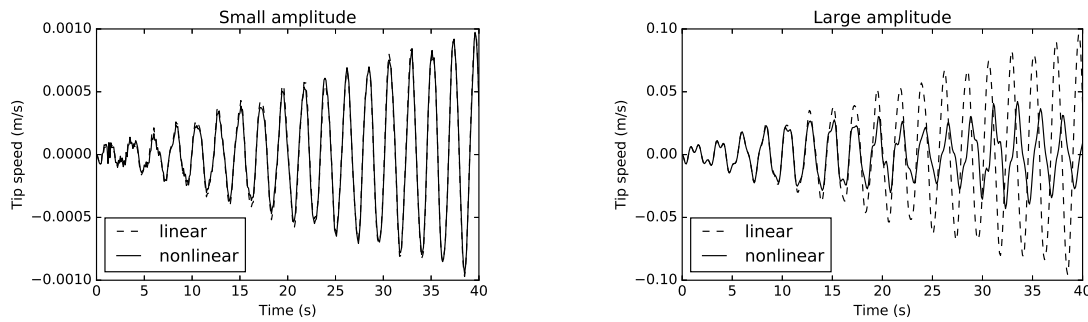


Figure 8: Sloshing-structure simulation: the system starts in still condition and is harmonically excited with frequency close to the first natural frequency. The figures show the time-response of the beam tip speed. On the left with small amplitude excitation, linear and nonlinear simulations give the same results. On the right with 100 times larger amplitude excitation, two different behaviors can be observed: the fluid nonlinear behavior reduces the amplitude of the structure vibration.

Additional simulation results, as well as videos with comparison between the numerical and experimental results can be found on our website.<sup>7</sup>

## 7. Observer passivity-based control of the fluid-structure system

Finally, another interest of using the port-Hamiltonian formalism is the possibility of taking advantage of the port-Hamiltonian structure of the system to find energy-based control laws (see, e.g., [27, 36, 35, 50]). The efficiency of

<sup>7</sup><https://github.com/flavioluiz/port-hamiltonian>

using this type of controller for vibration reduction can be understood on a simple example; we have seen (Eq. 87) that the energy balance of the final system is:

$$\dot{H} = v(t)v^*(t),$$

where  $v(t)$  is the voltage applied to the piezoelectric ceramic (the system input), and  $v^*(t)$  is its conjugate output, which corresponds to the ‘‘motional’’ current induced in the ceramic. If an output feedback control law is chosen, such that:  $v(t) = -kv^*(t)$  ( $k > 0$ ), then:

$$\dot{H} = -k(v^*(t))^2 \leq 0,$$

and the control law dissipates energy from the system. This control strategy is known as damping injection [12].

290 To directly apply this control law on the experimental device, a sensor for the mechanically induced current  $v^*(t)$  would be needed. However, in our experimental device, the only available sensors are the accelerometers near the free end ( $v^*(t)$  is not measured).

To overcome this problem, we use the final linearized equations to design a state observer to estimate  $v^*$  from the measured speed  $\dot{w}^B(L, t)$ .

After linearization and removing the constraints, the fluid-structure equations can be written as:

$$\begin{aligned} \dot{\mathbf{x}} &= (J - R)Q\mathbf{x} + \begin{bmatrix} B_a & B_s \end{bmatrix} \begin{bmatrix} v(t) \\ F(t) \end{bmatrix}, \\ \begin{bmatrix} v^*(t) \\ \dot{w}^B(L, t) \end{bmatrix} &= \begin{bmatrix} B_a & B_s \end{bmatrix}^T Q\mathbf{x}, \end{aligned} \quad (104)$$

295 where  $F(t)$  is the *virtual* input force applied at the position of the sensor that measures the speed.

A classical Luenberger observer is then designed from Eq. 104 as:

$$\begin{aligned} \dot{\hat{\mathbf{x}}} &= (J - R)Q\hat{\mathbf{x}} + B_a v(t) + L(\dot{w}^B(L, t) - B_s^T Q\hat{\mathbf{x}}), \\ \hat{v}^* &= B_a^T Q\hat{\mathbf{x}}, \end{aligned} \quad (105)$$

Finally, using  $\hat{v}^*$ , the estimate of  $v^*$ , a simple output-feedback  $v = -k\hat{v}^*$  is implemented to actively introduce damping in the system. This method was detailed in [51].

300 The proposed observer based controller was tested on the experimental device. The controller was implemented using MATLAB Simulink, on Real-time Windows Target, with an NI 6024-E board. A sample time of 0.001s was chosen. Two 4371 Bruel & Kjaer accelerometers were used (located near the plate free tip), together with charge amplifiers. The amplifiers can give directly the speed measurements. The two PZT piezoelectric actuators were actuated symmetrically to control the bending motion.

305 The observer and the controller were designed taking into account spill-over effects. This is done by choosing the matrix  $R$  such that higher-order modes are well damped, avoiding the excitation of nonmodeled higher-order dynamics. The plant behavior was tested for different values of controller gain  $k$ . Fig. 9 shows one example of frequency response, for  $k = 10^5$ , which is compared with the open-loop case. The tank is 25% filled with water. The reduction of the peaks indicates that the proposed controller improves the damping characteristics of the coupled system.

310 The damping ratios for three modes that are attenuated with the controller are presented in Table 3. The modes 2, 3 and 7 show the largest improvements: the first two are fluid-structure coupled modes, and the third is mainly related to the second bending mode of the structure. The other modes are not significantly attenuated by the controller since they present small amplitudes with the available actuators.

315 Figure 10 shows the time response of the system initially excited with a harmonic voltage and then controlled according to the proposed control law. The time response illustrates the attenuation of the vibration with the closed-loop system.

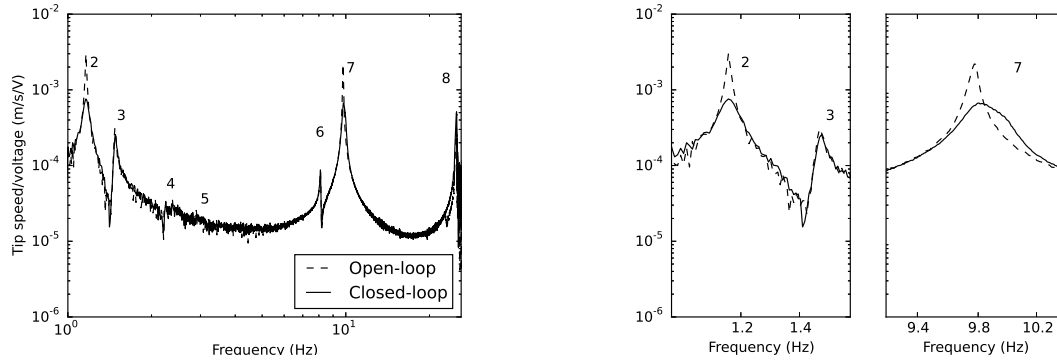


Figure 9: Measured frequency response of the fluid-structure system: comparison between the open-loop and the closed-loop. The figures on the right focus on the natural frequencies 2, 3 and 7. The reduction of the peaks shows that the proposed controller improves the damping characteristics of the coupled system.

Table 3: Comparison between the damping ratios experimentally obtained.

Mode	Description	Damping ratio	
		Open-loop	Closed-loop
2	2nd sloshing + 1st bending	0.0045	0.0150
3	3rd sloshing + 1st bending	0.0053	0.0090
7	2nd bending	0.0020	0.0095

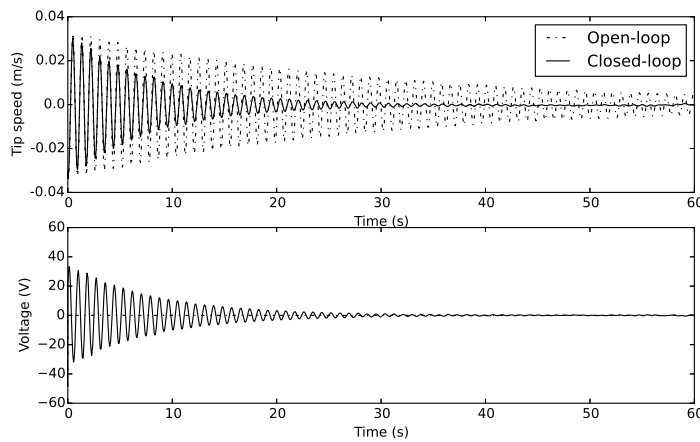


Figure 10: Measured time response of the fluid-structure system: comparison between the open-loop and the closed-loop plant.

## 8. Conclusions and further work

The main contributions of this paper are the following:

1. A new model for sloshing equations in moving containers was presented within the port-Hamiltonian formalism in Section 3. The primary interest of using this formalism, in the context of this work, is that it provides a systematic, modular approach for modeling complex multi-physics phenomena. Differently from previous work using shallow water equations for the simulation of sloshing in moving containers, this paper explicitly defines input and outputs interaction ports that can be employed for coupling elements of a complex system in an easy and systematic way.

2. The proposed model was applied to a fluid-structure interaction problem, consisting of a flexible beam (Section 4), with piezoelectric actuators and a tip tank. Each subsystem was written within the port-Hamiltonian formalism and coupled using the interaction ports in Section 5.

Our main interest in this paper was to write each subsystem of the fluid-structure independently and couple them in a systematic way. The semi-discretization of each subsystem is also made in an independent way, and the interconnection ports of the infinite-dimensional system are preserved in the finite-dimensional one. Thus, the approach leads to a straightforward way of coupling the equations. The final equations were used for nonlinear simulations in the time domain, and linear simulations both in time and frequency domain. A good agreement between the numerical and experimental results was obtained.

This paper ends with the implementation of a damping injection control law: an observer is computed based on the pHs model to apply passivity-based control theory in a case where actuators and sensors are not collocated.

Concerning possible further work, firstly, the fluid equations presented in this paper can be improved in several ways. One could think of using a bi-dimensional version of the shallow water equations. This would allow simulating more complex phenomena, related to bending rotations of the beam. Also, the agreement between experimental and numerical results is reduced for larger filling ratios of the tank. This happens due to the hypothesis of shallow water. A better agreement would be obtained using incompressible Euler equations. In both cases, an extension of the proposed semi-discretization method for 2D systems is needed.

Secondly, in this paper, the coupling between the fluid and the structure is point-wise (since the liquid tank can be considered as rigid). A more complicated interaction could be studied using the port-Hamiltonian formalism. In this case, one could use distributed interaction ports between each subsystem.

Thirdly, in this paper, the pHs model was used to implement a damping-injection control law. Other control strategies that take advantage of the port-Hamiltonian structure of the system, as energy shaping IDA-PBC, should be explored in further work.

Finally, with the goal of dealing with industrial applications, we are planning to develop a toolbox based on the pHs formulation for the simulation of complex multiphysics systems, with coupled elements that are in finite and/or infinite dimension.

## Appendix A. Detailed derivation of full fluid equations as a port-Hamiltonian system

The goal of this appendix is to rewrite the Eqs. 16 using the port-Hamiltonian formalism. First, we have to write the Hamiltonian, given by the sum of the fluid kinetic and potential energies (Eqs. 12 and 13), and the tank kinetic energy  $\frac{1}{2}m_T\dot{D}^2$ :

$$H = \int_{z=-a/2}^{a/2} \left( \rho b g \left( \frac{h^2}{2} \cos \theta + h z \sin \theta \right) + \frac{1}{2} \rho b h \left( (u + \dot{D} \cos \theta)^2 + (-\dot{D} \sin \theta + z \dot{\theta})^2 \right) \right) dz + \frac{1}{2} m_T \dot{D}^2, \quad (\text{A.1})$$

then, two new moment variables are defined, one for the translation  $p$  and other for the rotation  $p_\theta$ :

$$\begin{aligned} p &:= \frac{\partial H}{\partial \dot{D}} = \int_{z=-a/2}^{a/2} b h \rho (u + \dot{D} \cos \theta) dz \cos \theta + (m_T + m_F \sin \theta) \dot{D} \\ &\quad - \int_{z=-a/2}^{a/2} \rho b h z dz \sin \theta \dot{\theta}, \\ p_\theta &:= \frac{\partial H}{\partial \dot{\theta}} = - \int_{z=-a/2}^{a/2} \rho b h z dz \cos \theta \dot{D} + \int_{z=-a/2}^{a/2} \rho b h z^2 dz \dot{\theta}, \end{aligned}$$

where  $m_F$  is the fluid mass:  $m_F = \int_{z=-a/2}^{a/2} \rho b h dz$ , which is constant.

By using the following change of variables:  $\alpha_1(z, t) = b h(z, t)$  and  $\alpha_2(z, t) = \rho(u(z, t) + \dot{D}(t) \cos \theta(t))$ , the moment



variables become:

$$\begin{aligned} p &= \int_{z=-a/2}^{a/2} \alpha_1 \alpha_2 dz \cos \theta + (m_T + m_F \sin \theta) \dot{D} - \int_{z=-a/2}^{a/2} \rho \alpha_1 z dz \sin \theta \dot{\theta}, \\ p_\theta &= - \int_{z=-a/2}^{a/2} \rho \alpha_1 z dz \cos \theta \dot{D} + \int_{z=-a/2}^{a/2} \rho \alpha_1 z^2 dz \dot{\theta}. \end{aligned} \quad (\text{A.2})$$

We can write  $\dot{D}$  and  $\dot{\theta}$  as function of the new moment variables:

$$\begin{aligned} \dot{D} &= \frac{\mathcal{A}D + Cp_\theta - \mathcal{D}p}{C^2 - \mathcal{B}\mathcal{D}}, \\ \dot{\theta} &= - \frac{\mathcal{A}C + \mathcal{B}p_\theta - Cp}{C^2 - \mathcal{B}\mathcal{D}}, \end{aligned} \quad (\text{A.3})$$

where  $\mathcal{A}$ ,  $\mathcal{B}$ ,  $C$  and  $\mathcal{D}$  are defined as follow:

$$\begin{aligned} \mathcal{A}[\alpha_1, \alpha_2, \theta] &:= \int_{z=-a/2}^{a/2} \alpha_1 \alpha_2 dz \cos \theta, \\ \mathcal{B}(\theta) &:= m_T + m_F \sin \theta, \\ C[\alpha_1, \theta] &:= - \int_{z=-a/2}^{a/2} \rho \alpha_1 z dz \sin \theta, \\ \mathcal{D}[\alpha_1] &:= \int_{z=-a/2}^{a/2} \rho \alpha_1 z^2 dz. \end{aligned} \quad (\text{A.4})$$

The new Hamiltonian, as function of  $\alpha_1(z, t)$ ,  $\alpha_2(z, t)$ ,  $p(t)$ ,  $D(t)$ ,  $p_\theta(t)$ ,  $\theta(t)$  is then given by:

$$\begin{aligned} H^F [\alpha_1, \alpha_2, D, p, \theta, p_\theta] &= \int_{z=-a/2}^{a/2} \left[ \rho g \left( \frac{\alpha_1^2}{2b} \cos \theta + \alpha_1 z \sin \theta \right) + \frac{1}{2\rho} \alpha_1 \alpha_2^2 \right] dz \\ &\quad - \frac{\mathcal{D}\mathcal{A}^2 - 2\mathcal{D}\mathcal{A}p + 2C\mathcal{A}p_\theta + \mathcal{D}p^2 - 2Cp p_\theta + \mathcal{B}p_\theta^2}{2(C^2 - \mathcal{B}\mathcal{D})} \end{aligned} \quad (\text{A.5})$$

Computing the variational derivatives of the Hamiltonian with respect to each energy variable:

$$\begin{aligned} \frac{\delta H^F}{\delta \alpha_2} &= \alpha_1 \frac{\alpha_2}{\rho} + \frac{\partial H}{\partial \mathcal{A}} \frac{\delta \mathcal{A}}{\delta \alpha_2}, \\ \frac{\delta H^F}{\delta \alpha_1} &= \rho g \left( \frac{\alpha_1}{b} \cos \theta + z \sin \theta \right) + \frac{\alpha_2^2}{2\rho} + \frac{\partial H}{\partial \mathcal{A}} \frac{\delta \mathcal{A}}{\delta \alpha_1} + \frac{\partial H}{\partial C} \frac{\delta C}{\delta \alpha_1} + \frac{\partial H}{\partial \mathcal{D}} \frac{\delta \mathcal{D}}{\delta \alpha_1}, \end{aligned} \quad (\text{A.6})$$

The partial derivatives of the  $H$  with respect to  $\mathcal{A}$ ,  $\mathcal{B}$ ,  $C$  and  $\mathcal{D}$  are computed as:

$$\begin{aligned} \frac{\partial H^F}{\partial \mathcal{A}} &= - \frac{\mathcal{D}(\mathcal{A} - p) + Cp_\theta}{C^2 - \mathcal{B}\mathcal{D}} = -\dot{D}, \\ \frac{\partial H^F}{\partial \mathcal{B}} &= - \frac{\mathcal{A}^2 \mathcal{D}^2 + 2\mathcal{A}C\mathcal{D}p_\theta - 2\mathcal{A}\mathcal{D}^2 p + C^2 p_\theta^2 - 2C\mathcal{D}p p_\theta + \mathcal{D}^2 p^2}{2(C^2 - \mathcal{B}\mathcal{D})^2} = -\frac{\dot{D}^2}{2}, \\ \frac{\partial H^F}{\partial C} &= \frac{\mathcal{D}\mathcal{A}^2 C + \mathcal{A}C^2 p_\theta - 2\mathcal{D}\mathcal{A}Cp + \mathcal{B}\mathcal{D}\mathcal{A}p_\theta - C^2 p p_\theta + \mathcal{D}Cp^2 + \mathcal{B}Cp_\theta^2 - \mathcal{B}\mathcal{D}p\theta}{(C^2 - \mathcal{B}\mathcal{D})^2} = -\dot{D}\dot{\theta}, \\ \frac{\partial H^F}{\partial \mathcal{D}} &= - \frac{\mathcal{A}^2 C^2 + 2\mathcal{A}\mathcal{B}Cp_\theta - 2\mathcal{A}C^2 p + \mathcal{B}^2 p_\theta^2 - 2\mathcal{B}Cp p_\theta + C^2 p^2}{2(C^2 - \mathcal{B}\mathcal{D})^2} = -\frac{\dot{\theta}^2}{2}, \end{aligned} \quad (\text{A.7})$$

and the variational derivatives of  $\mathcal{A}$ ,  $\mathcal{B}$ ,  $\mathcal{C}$  and  $\mathcal{D}$  with respect to  $\alpha_1$  and  $\alpha_2$  are given by:

$$\frac{\delta \mathcal{A}}{\delta \alpha_1}(\alpha_1, \alpha_2, \theta) = \alpha_2 \cos \theta, \quad \frac{\delta \mathcal{A}}{\delta \alpha_2}(h, v, \theta) = \alpha_1 \cos \theta, \quad (\text{A.8})$$

$$\frac{\delta \mathcal{B}}{\delta \alpha_1}(\theta) = 0, \quad \frac{\delta \mathcal{B}}{\delta \alpha_2}(\theta) = 0, \quad (\text{A.9})$$

$$\frac{\delta \mathcal{C}}{\delta \alpha_1}(\alpha_1, \theta) = -\rho z \sin \theta, \quad \frac{\delta \mathcal{C}}{\delta \alpha_2}(\alpha_1, \theta) = 0, \quad (\text{A.10})$$

$$\frac{\delta \mathcal{D}}{\delta \alpha_1}(\alpha_1) = \rho z^2, \quad \frac{\delta \mathcal{D}}{\delta \alpha_2}(\alpha_1) = 0, \quad (\text{A.11})$$

So we the variational derivatives from Eq. A.6 can be rewritten as:

$$\begin{aligned} e_2^F &:= \frac{\delta H^F}{\delta \alpha_2} = \frac{\alpha_1 \alpha_2}{\rho} - \dot{D} \alpha_1 \cos \theta = bh(u + \dot{D} \cos \theta) - \dot{D}bh \cos \theta = bhu, \\ e_1^F &:= \frac{\delta H^F}{\delta \alpha_1} = \rho g \left( \frac{\alpha_1}{b} \cos \theta + z \sin \theta \right) + \frac{\alpha_2^2}{2\rho} - \dot{D} \alpha_2 \cos \theta + \dot{D} \dot{\theta} \rho z \sin \theta - \rho \frac{(\dot{\theta} z)^2}{2}, \\ &= \rho g(h \cos \theta + z \sin \theta) + \rho \frac{u^2}{2} + \dot{D} \dot{\theta} \rho z \sin \theta - \frac{1}{2} \rho \left( (\dot{D} \cos \theta)^2 + (\dot{\theta} z)^2 \right). \end{aligned} \quad (\text{A.12})$$

Finally, the dynamic Equations 16 can be written as:

$$\begin{aligned} \frac{\partial \alpha_1}{\partial t}(z, t) &= -\frac{\partial}{\partial z} \left( e_2^F \right), \\ \frac{\partial \alpha_2}{\partial t}(z, t) &= -\frac{\partial}{\partial z} \left( e_1^F \right). \end{aligned} \quad (\text{A.13})$$

In addition, the rigid body equations can be found. First, the partial derivatives of the Hamiltonian with respect to each rigid body variable must be computed:

$$\begin{aligned} e_p^F &:= \frac{\partial H^F}{\partial p} = \frac{\mathcal{A} \mathcal{D} + \mathcal{C} p_\theta - \mathcal{D} p}{\mathcal{C}^2 - \mathcal{B} \mathcal{D}} = \dot{D}, \\ e_{p_\theta}^F &:= \frac{\partial H^F}{\partial p_\theta} = -\frac{\mathcal{A} \mathcal{C} + \mathcal{B} p_\theta - \mathcal{C} p}{\mathcal{C}^2 - \mathcal{B} \mathcal{D}} = \dot{\theta}. \end{aligned} \quad (\text{A.14})$$

Thus, the rigid body equations are given by:

$$\begin{aligned} \frac{\partial p}{\partial t}(t) &= -e_D^F + F_{ext}, \\ \frac{\partial D}{\partial t}(t) &= e_p^F, \\ \frac{\partial p_\theta}{\partial t}(t) &= -e_\theta^F + M_{ext}, \\ \frac{\partial \theta}{\partial t}(t) &= e_{p_\theta}^F. \end{aligned} \quad (\text{A.15})$$

The fluid and rigid body equations can be rewritten using a matrix form:

$$\frac{\partial}{\partial t} \begin{bmatrix} \alpha_1(z, t) \\ \alpha_2(z, t) \\ p \\ D \\ p_\theta(t) \\ \theta(t) \end{bmatrix} = \begin{bmatrix} 0 & -\partial_z & 0 & 0 & 0 & 0 \\ -\partial_z & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} e_1^F \\ e_2^F \\ e_p^F \\ e_D^F \\ e_{p_\theta}^F \\ e_\theta^F \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 0 \\ 0 & 0 \\ 0 & 1 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} F_{ext} \\ M_{ext} \end{bmatrix}, \quad (\text{A.16})$$

and outputs:

$$\begin{bmatrix} \dot{D} \\ \dot{\theta} \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} e_1^F \\ e_2^F \\ e_D^F \\ e_{p\theta}^F \\ e_\theta^F \end{bmatrix} \quad (\text{A.17})$$

The power balance of this system is given by:

$$\frac{dH^F}{dt} = \mathbf{u}_\theta^{FT} \mathbf{y}_\theta^F + \dot{D}F_{ext} + \dot{\theta}M_{ext}, \quad (\text{A.18})$$

where  $\mathbf{u}_\theta^F = [e_1^F(a/2, t) \quad e_2^F(-a/2, t)]^T$  and  $\mathbf{y}_\theta^F = [-e_2^F(a/2, t) \quad e_1^F(-a/2, t)]^T$ .

## Appendix B. Validation of the semi-discretization method for the individual subsystems

### Appendix B.1. Homogeneous PDEs and comparison with analytical results

Assuming that all the semi-discretized equations are linear (or have been linearized), they can be written as:

$$\begin{cases} \dot{\mathbf{x}}^i(t) &= J_d^i Q^i \mathbf{x}^i(t) + B^i \mathbf{u}^i(t), \\ \mathbf{y}^i(t) &= (B^i)^T Q^i \mathbf{x}^i(t) + D^i \mathbf{u}^i(t), \end{cases} \quad (\text{B.1})$$

Both in the cases of the bending and torsion beam, the fixed-free boundary conditions are satisfied if  $\mathbf{u}^i = 0$ , so the autonomous equations become:

$$\dot{\mathbf{x}}^i(t) = J_d^i Q^i \mathbf{x}^i(t). \quad (\text{B.2})$$

Consequently, in order to find the approximated natural frequencies of these systems, it is enough to find the eigenvalues of  $J^i Q^i$ . These results are presented in Tables B.4 and B.5.

In the case of fluid equations, as commented before, the no-flow boundary condition introduces a constraint to the system, for this reason it must be written as a DAE. The natural frequencies of the sloshing problem presented in Table B.6 are obtained by linearizing the system and computing the generalized eigenvalues.

Notice from Tables B.4 to B.6 that the convergence of the method can be easily verified. The relative errors of the numerical results with respect to the exact ones are presented. It is possible to see that, when using only 9 basis functions, the error of the first frequency is limited by the numerical precision. Using 12 basis functions, the first 7 natural frequencies have a relative error smaller than 1% for all the three tested cases.

Table B.4: Torsion equation: approximated natural frequencies obtained from the semi-discretization model computed for different number of ( $N$ ) basis functions and comparison with the exact frequencies computed by the analytical expression.

Mode	N = 3		N = 6		N = 9		N = 100		Exact
	Freq.	Error	Freq.	Error	Freq.	Error	Freq.	Error	
1	35.2	1e-04	35.2	4e-11	35.2	8e-16	35.2	2e-14	35.2
2	111.2	5e-02	105.6	1e-05	105.6	2e-10	105.6	1e-14	105.6
3	345.0	1e+00	176.5	3e-03	176.0	9e-07	176.0	7e-15	176.0
4			257.9	5e-02	246.5	2e-04	246.4	1e-16	246.4
5			414.0	3e-01	318.3	5e-03	316.8	1e-15	316.8
6			1203.5	2e+00	402.9	4e-02	387.2	1e-16	387.2
7					541.6	2e-01	457.7	2e-16	457.7

In the case of the bending beam, the state-space obtained from the numerical method is used to find the frequency response and compared with known exact results for a beam with uniform parameters [8]. These results are presented in Fig. B.11. Only 12 basis functions were used in the numerically obtained system. Notice that the numerical results agree very well with the exact transfer function.

Table B.5: Bending equation: approximated natural frequencies obtained from the semi-discretization model computed for different number of ( $N$ ) basis functions and comparison with the exact frequencies computed by the analytical expression.

Mode	N = 3		N = 6		N = 9		N = 12		Exact
	Freq.	Error	Freq.	Error	Freq.	Error	Freq.	Error	
1	2.2	6e-04	2.2	2e-10	2.2	2e-14	2.2	6e-15	2.2
2	14.0	2e-02	13.8	3e-05	13.8	1e-11	13.8	5e-15	13.8
3	199.1	4e+00	38.5	6e-04	38.5	2e-06	38.5	2e-12	38.5
4			82.6	9e-02	75.5	3e-05	75.5	1e-07	75.5
5			146.7	2e-01	125.9	9e-03	124.7	2e-06	124.7
6			3446.4	2e+01	190.5	2e-02	186.5	9e-04	186.3
7					364.5	4e-01	261.0	3e-03	260.3

Table B.6: Linearized sloshing equation: approximated natural frequencies obtained from the semi-discretization model computed for different number of ( $N$ ) basis functions and comparison with the exact frequencies computed by the analytical expression.

Mode	N = 3		N = 6		N = 9		N = 12		Exact
	Freq.	Error	Freq.	Error	Freq.	Error	Freq.	Error	
1	0.46	7e-03	0.45	1e-07	0.45	1e-13	0.45	9e-15	0.45
2	1.12	2e-01	0.91	3e-04	0.91	2e-08	0.91	1e-12	0.91
3			1.38	1e-02	1.36	2e-05	1.36	2e-09	1.36
4			2.05	1e-01	1.82	1e-03	1.82	1e-06	1.82
5			3.93	7e-01	2.31	2e-02	2.27	9e-05	2.27
6					2.97	9e-02	2.73	2e-03	2.73

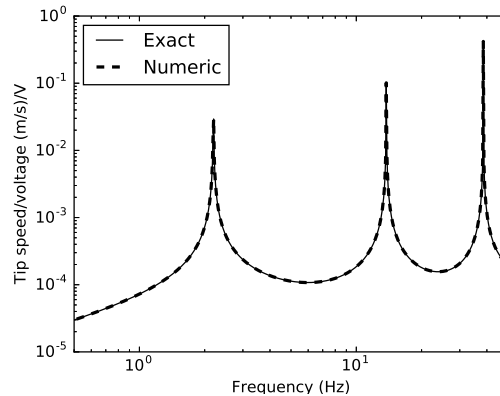


Figure B.11: Frequency response of the bending beam: comparison between the numerical model and the exact transfer function. The beam is assumed to have uniform rigidity and mass distributions.

### Appendix B.2. Inhomogeneous beam model with piezoelectric patch and comparison with experimental results

370 The results in Appendix B.1 assume that the beam has uniformly distributed parameters. This assumption is needed for theoretical validation since in this case closed-form expressions exist. In practice, however, the piezo-  
 electric patches change the local rigidity and mass distribution of the beam. This effect is presented in Fig. B.12, which shows a comparison between the numerical and experimental results of the beam frequency response. The experimental results are obtained from a frequency sweep excitation of the piezoelectric patches. The tip speed is measured using an accelerometer placed near the free-tip of beam. Two different numerical results are presented: 1)  
 375 the beam is considered without piezoelectric patch; 2) the mass and rigidity of the piezoelectric patches are taken into account in the computation of the beam Hamiltonian. The results show a good agreement between the numerical and experimental frequency response. The curve using the second numerical method agrees better with experiments for small frequencies, since it introduces the mass and rigidity of the patch. No damping is included in the numerical method, which explains the larger amplitudes of the peaks.

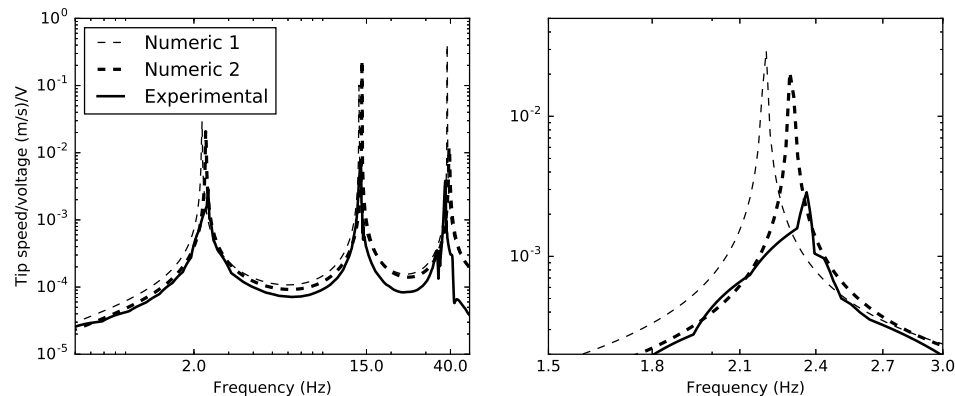


Figure B.12: Frequency response: comparison between two numerical models and the experimental results. The curve labeled as *numeric 1* was obtained using a model in which the piezoelectric patches are not taken into account. *Numeric 2* takes into account the piezoelectric patches mass and rigidity. The figure on the right focuses on the first natural frequency. By including the patches properties, both the amplitude and natural frequency shift and a better agreement with experimental result is obtained for small frequencies.

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